

**MAE 268 / MATS 254**  
**MEMS Materials, Fabrication and Applications**

**Spring 2009**

**Time and Location: Tuesday & Thursday, 11-12:20 pm,**  
**Room: SSB 106**

**Instructors:**

Prof. Prab Bandaru

Prof. Sungho Jin

Prof. Frank Talke



# An introduction to MAE 254/MATS 268

Tentative course outline (10 weeks):

5 weeks: Materials & Fabrication (Prab Bandaru)

3 weeks: Packaging (Sungho Jin)

2 weeks: Applications (Frank Talke)

## **1. Introduction & scaling issues**

- (a) Introduction to MEMS/NEMS, course objectives, survey of class,
- (b) Why make systems small? Scaling issues in mechanical, electromagnetic, fluid, chemical and biological systems

## **2. MEMS micro-fabrication and materials**

Microfabrication: Deposition and etching, Lithography, Etching (Dry vs. wet), Surface vs. bulk micromachining, electro-deposition



### **3. Principles of actuation; Electrostatic, magnetic; (Case studies)**

- ADXL capacitive accelerometer,
- Texas Instruments' Digital micro-mirror device (DMD)

### **4. MEMS Design and manufacture**

- Optical MEMS: SLM: Grating light valve
- Radio-frequency MEMS,
- Biological: DNA amplification
- Designing MEMS: CAD and the MUMPS Process (Cronos)

### **5. Research & Future advances**

- The future in MEMS, NEMS
- *Mid-term exam*



# MEMS: Issues in Packaging

## 6. Principles of MEMS packaging

- IC packaging vs MEMS packaging
- Processes involved in packaging
- Effect of electrostatic charge and humidity

## 7. MEMS packaging materials and processes

- Solder bonding and wire bonding
- Hermetic sealing materials and processes
- Multilayer connections

## 8. Stability of MEMS components

- Cantilever geometry vs. metallization and surface treatment
- Stability of membrane geometry during packaging
- Stability during service



# MEMS: Applications

## 9. Application of MEMS technology to ink jet printing

- continuous ink jet technology versus drop on demand ink jet technology,
- bubble jet print head design, color ink jet printing

## 10. Application of MEMS technology to magnetic and optical recording technology

- magnetic recording technology, head disk interface, relationship between flying height and signal amplitude, optical recording
- thin film head design, MR head design, HAMR (heat assisted magnetic recording) head design



# Web site for the course

<http://maemail.ucsd.edu/~mae268/>

**MAE 268 / MATS 254**

**MEMS Materials, Fabrication and Applications Spring 2009**

<a href="#"><u>Introduction</u></a>	<a href="#"><u>Course Outline</u></a>	<a href="#"><u>Homework &amp; Solutions</u></a>	<a href="#"><u>Project info</u></a>	<a href="#"><u>Readings</u></a>
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## Grading:

Homework (15%),

Final project and presentation (30%), ~ **June 4**

Mid-term (20%) ~ **April 30**

Final (35%) ~ **June 92 (11:30-2:30 pm)**

## References:

- (1) **Fundamentals of Microfabrication, M. Madou, CRC Press, (2002)**
- (2) **Microsystem design, S.D.Senturia, Kluwer (2001)**
- (3) **Micromachined transducers Sourcebook, G. Kovacs, McGraw Hill, (1998)**
- (4) **An Introduction to MEMS Engineering, Nadim Maluf, Artech, (2000)**



# Why Micro-/Nano-systems?

- **More efficient use of resources**
- **Small → Compact and Portable (Miniaturization)**
- **Greater sensitivity to forces:  $F = ma$**
- **More vibration resistant**  
(not much to vibrate !)
- **A natural evolution from Micro-electronics, Cheap**  
(can make lots of them, **Multiplicity**, say millions on a chip like transistors)

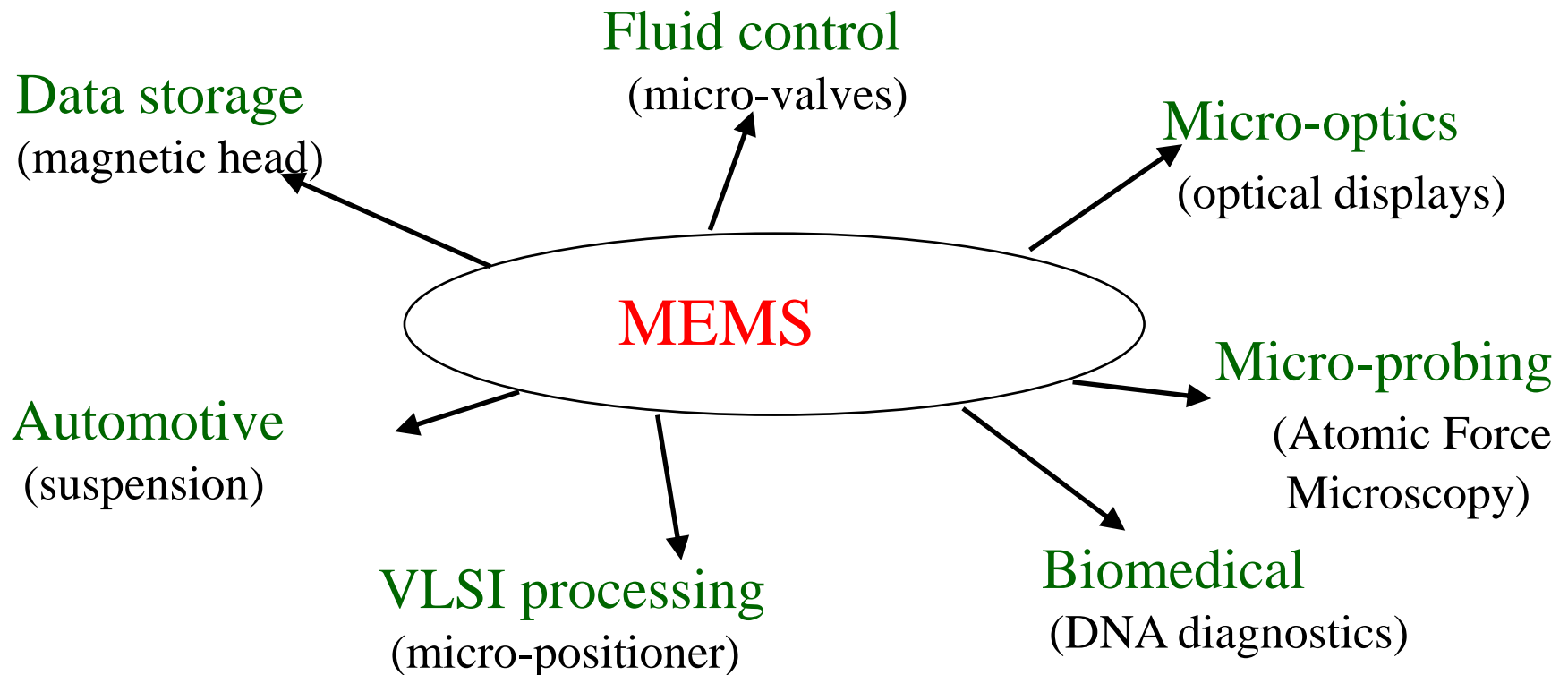
*New Science and Engineering, new laws of Physics/Chemistry?*



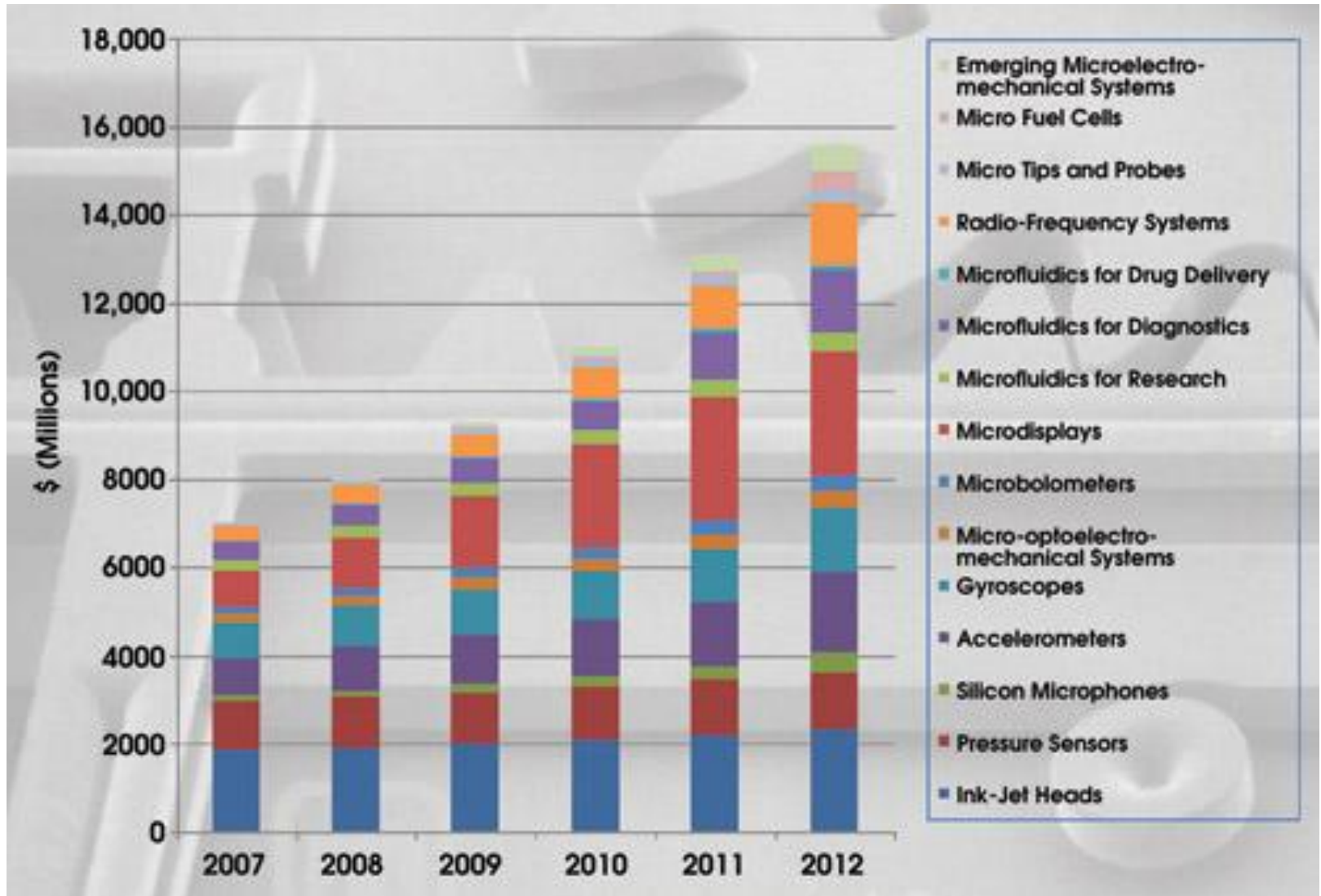


# Micro-electro-mechanical systems (MEMS) ----- “Micro machines”

*MEMS sensors and actuators are everywhere*



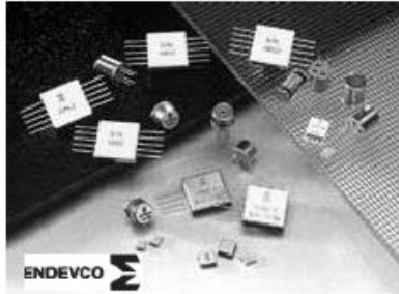
# “Growth spurt seen for MEMS”



Photonics Spectra, November 2008 - Yole Développement Survey

# Commercial Packaged MEMS

Microsensors



hp HEWLETT  
PACKARD



Print Cartridges



Microvalves



Accelerometers

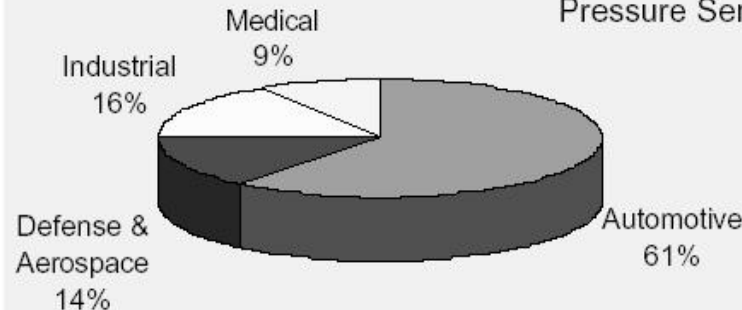


Microfluidics



Pressure Sensors

## Major Segments



"U.S. MEMS-Based Sensor Markets"  
Frost & Sullivan Report # 5999-32, 1999



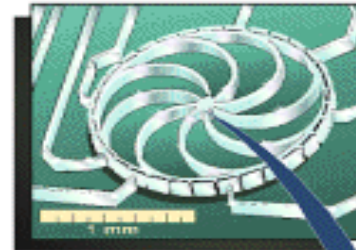
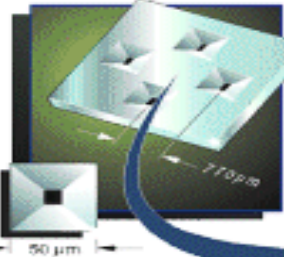
Projectors

# Automobile MEMS

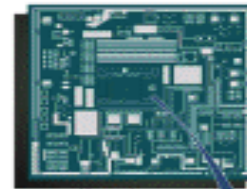
Courtesy of D. Thomas,  
Perkin-Elmer Applied  
Biosystems

Inertial Navigation Sensors  
• Acceleration  
• Yaw Rate

Silicon Nozzles  
for Fuel Injection



Fuel  
Pressure  
Sensor



## Micromachined Transducer

Applications for Automotive  
Operation & Safety

Micromachined  
Accelerometer  
for Airbag

Airbag  
Side Impact  
Sensor

Microphones  
for Noise  
Cancellation

Fuel Sensors  
• Level  
• Vapor Pressure

Air-Conditioning  
Compressor  
Sensor

Manifold  
Air  
Pressure  
Sensor

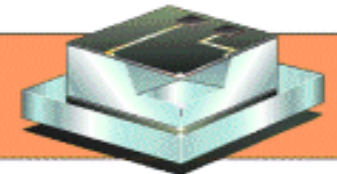
Mass  
Air Flow  
Sensor

Force Sensors  
• Brakes  
• Throttle Pedals

Accelerometer  
for Suspension  
Control

Pressure and Inertial  
Sensors for  
Braking Control

Tire  
Pressure  
Sensors

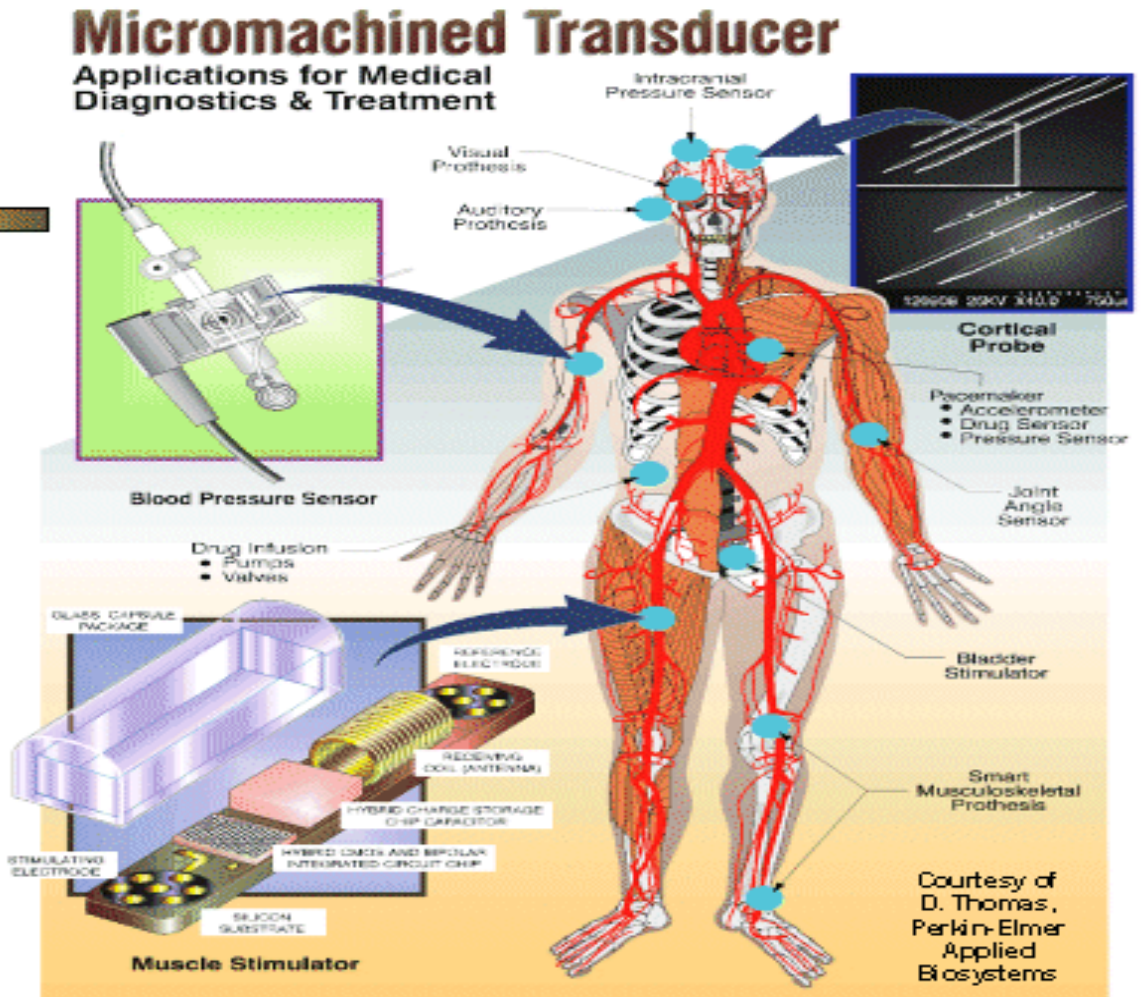
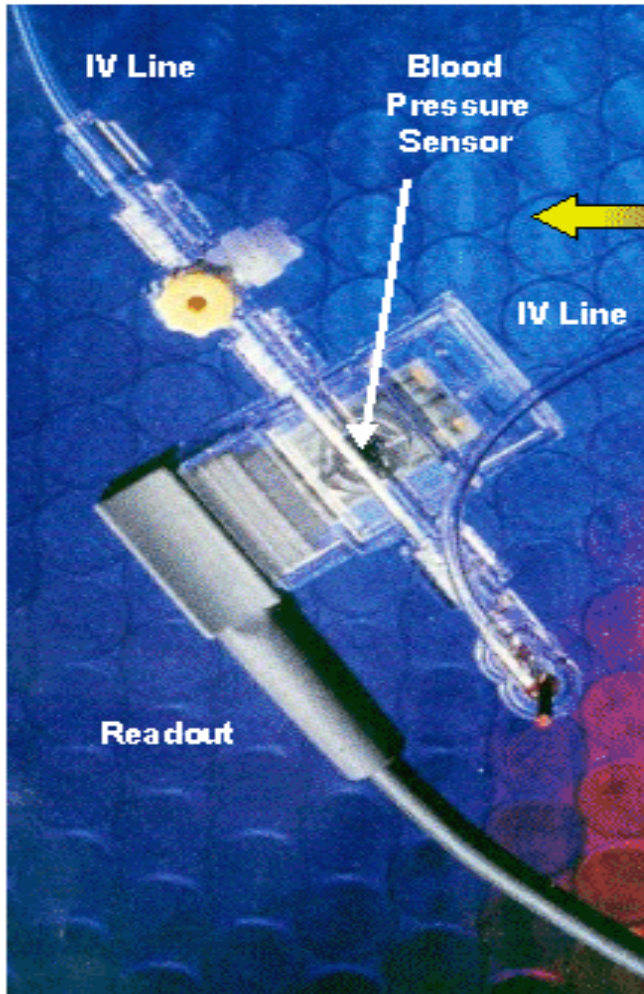


Crash  
Sensor

Exhaust  
Gas  
Sensor

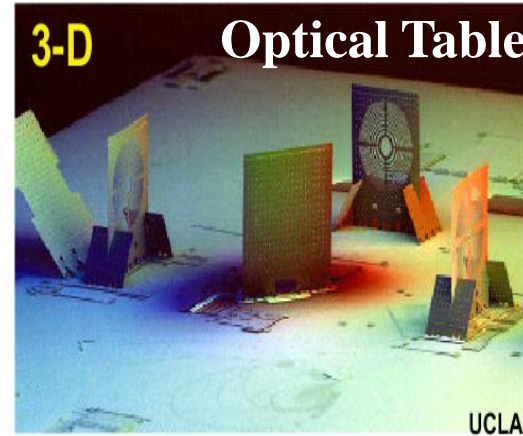
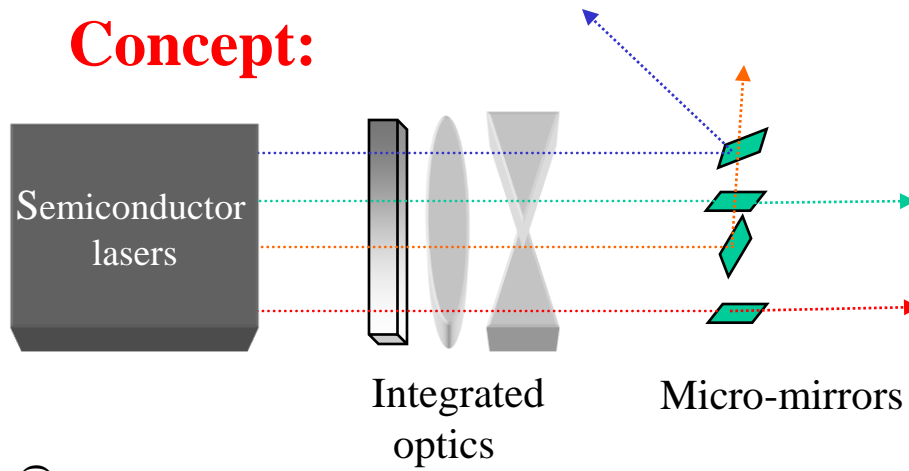


# Biological MEMS



# Integrated optical MEMS

**Concept:**



(M. Wu)

**Optics**

Mirror (Lee et al.)

Beam Splitter (Lin et al.)

Lens (Lin et al., 1994)

Lens (King et al 1996)

**Actuators**

SDA (Fan et al.)

Comb Drive (MCNC (Cranos))

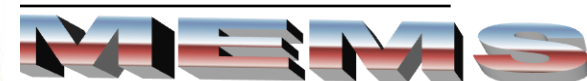
**System**

(Lee et al.)

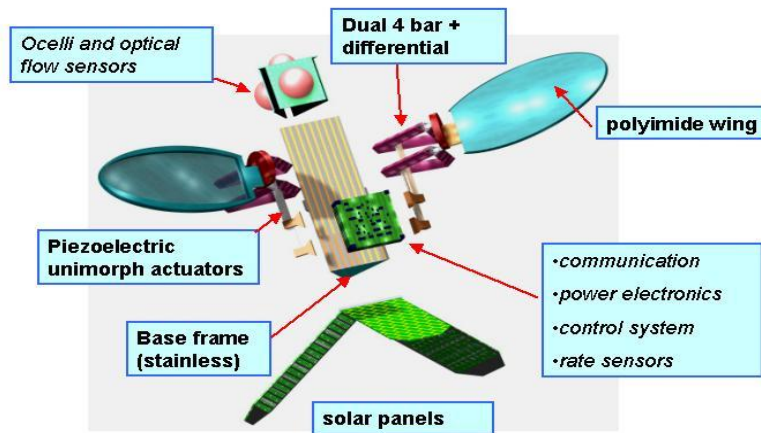
(Fan et al.)

(Lin et al., AT&T)

(slide courtesy: M. Wu & H. Toshiyoshi, UCLA)



# Micro-mechanical flying insect



parameter	blowfly	MFI
actuator	muscle	piezoelectric
Actuator mass (mg)	50	50
Actuator power (mW)	10	12
Wing power (mW)	5	10
Wing inertia (mg-mm <sup>2</sup> )	20	20
Quality factor Q	1-3	2
Resonant frequency (Hz)	150	150
Wing stroke/rotation (degrees)	160/120	120/90
Wing length (mm)	11	10
Mass (mg)	100	100

- Polyimide wings
- (Pb,Zr)TiO<sub>3</sub> :Piezo-electric actuators
- CdSe: solar panels

Uses in defense (pico-satellites?), biomimetics



# NEMS

## (Nano-Electro-Mechanical Systems)

$$\omega_o = \left( \frac{k_{\text{eff}}}{m_{\text{eff}}} \right)^{1/2}$$

$\omega_o$  : Vibration frequency of system

$k_{\text{eff}}$ : effective force constant  $\propto l$

$m_{\text{eff}}$ : effective mass  $\propto l^3$

→  $\omega_o$  increases as  $l$  (linear dimension) decreases

→ *Faster device operation*

Si cantilever MEMS (100 X 3 X 0.1  $\mu\text{m}$ ): 19 KHz

NEMS (0.1 X 0.01 X 0.01  $\mu\text{m}$ ): 1.9 GHz

(Roukes, NEMS, Hilton Head 2000)

*Promise true Nano-technology !*

better force sensitivities ( $10^{-18}$  N)

larger mechanical factors ( $10^{-15}$  g)

higher mass sensitivity (molecular level)

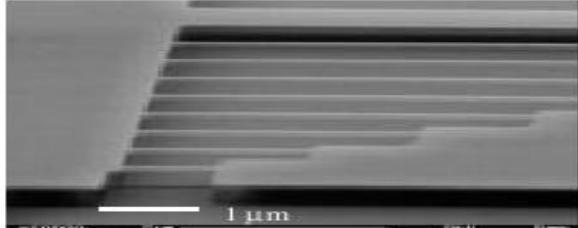
*than MEMS*





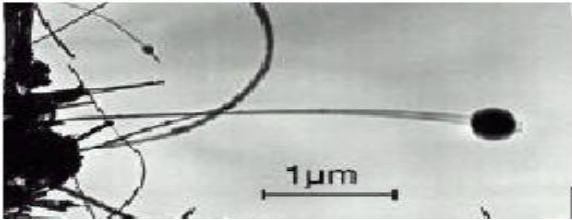
# NEMS

## (Nano-Electro-Mechanical Systems)



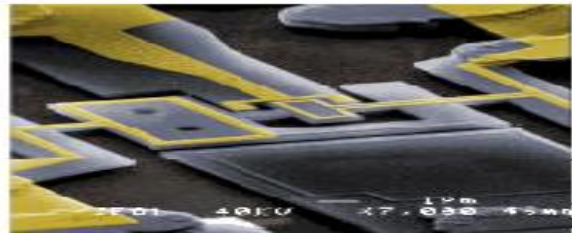
### SiC/Si wires as electro-mechanical resonators

f: 380 MHz, 90 nm wires  
(Yang et al, J. Vac. Sci. and Tech B, 19, 551 2001)  
(Carr et al, APL, 75, 920, 1999)



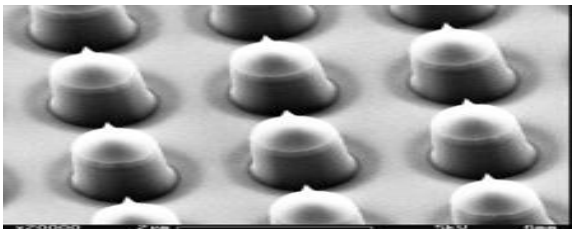
### Carbon nanotube as a electromechanical resonator

f: 0.97 MHz, m: 22.6 fg, E: 92 GPa  
(Poncharal et al, Science, 283, 1513, 1999)



### Nanometer scale mechanical electrometer

f: 2.61 MHz, Q: 6500  
(Cleland et al, Nature, 392, 160, 1998)



### Bio-motors

F1-ATPase generates ~ 100pN  
(Montemagno et al, Science, 290, 1555, 2000)

# Bio-MEMS

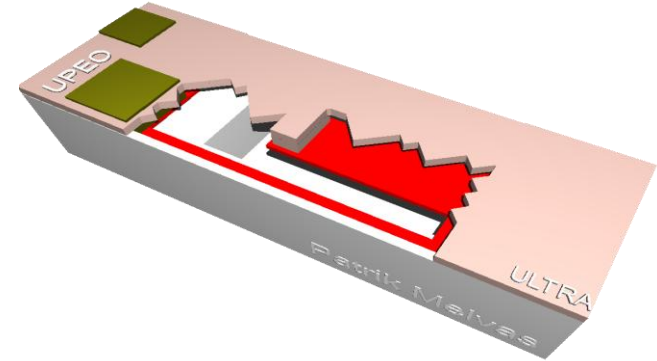
Use bio-molecules as sensing material, c.f. a chemical sensor

Two examples (potentially hundreds?):

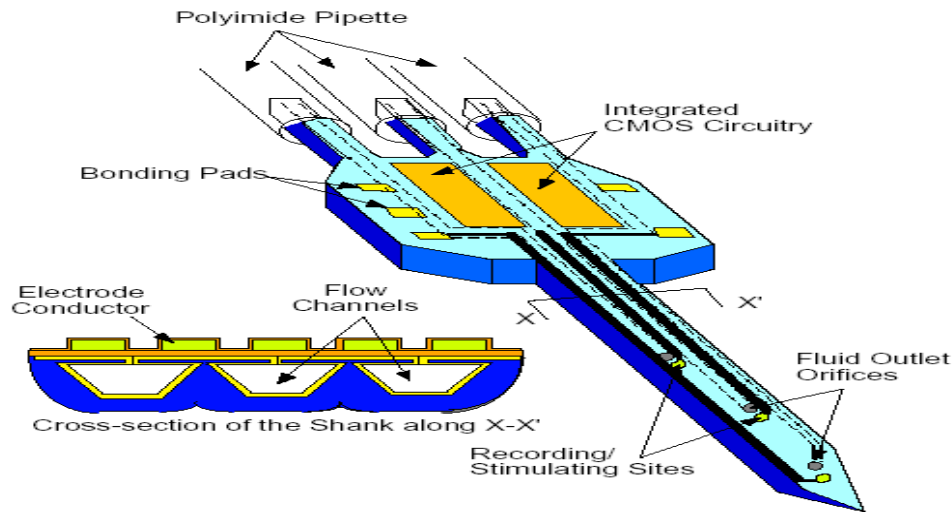
1. Cardiovascular pressure sensor



2. Neural probes



KTH Microsystems



K.D. Wise, University of Michigan

# Are mechanical laws different at small scales? YES!

If we scale quantities by a factor 'S'

Area  $\propto S^2$

Volume  $\propto S^3$

Surface tension  $\propto S$

Electrostatic forces  $\propto S^2$

Magnetic forces  $\propto S^3$

Gravitational forces  $\propto S^4$

- Surface Area/Volume effects
- Stiction: “Sticky friction”, due to molecular forces
  - surface tension pulls things together

SCALING OF: Mechanical systems

Fluidic systems

Thermal systems

Electrical and Magnetic systems

Chemical and Biological systems

# Scaling Laws

**At the micro-/nano-scale, engineering principles based on classical continuum models, are modified**

- atomic-scale structure  
(surface to volume ratio)**
- mean free path effects**
- quantum mechanical effects**
- noise**
  - \* Johnson Noise**
  - \* Shot Noise**
  - \* 1/f noise**

# Are mechanical laws different at small scales? YES!

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SCALING OF: Mechanical systems

Fluidic systems

Thermal systems

Electrical and Magnetic systems

Chemical and Biological systems

**Which dynamical variables are scaled?**

**- depends on our choice**

**e.g.**

**Mechanical systems**

**Constant stress → Scale independent elastic deformation, scale independent shape**

**Electromagnetic systems**

**Constant electrostatic stresses/field strengths**

**Thermal systems**

**Constant heat capacity & thermal conductivity**

# Scaling Issues in Fluids

## Viscosity & Surface Tension

- Definition: A fluid cannot resist shear stresses

$$\text{Reynold's number (Re)} = \frac{v \rho l}{\eta}$$

**Re** is the ratio of inertial and viscous forces,

**v**: velocity,  **$\rho$** : density. **l**: linear dimension

Viscosity dominates at:  $\text{Re} < 1$

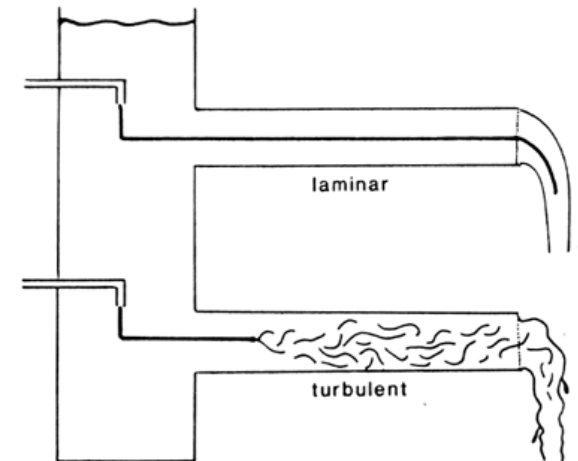
Re for whale swimming at 10 m/second  $\sim 300,000,000$

Re for a mosquito larva , moving at 1mm/sec  $\sim 0.3$

**Re** marks the transition between

Laminar/Smooth flow & Turbulent Flow (mixing)

**In MEMS: always laminar flow!**



# Thermal Issues

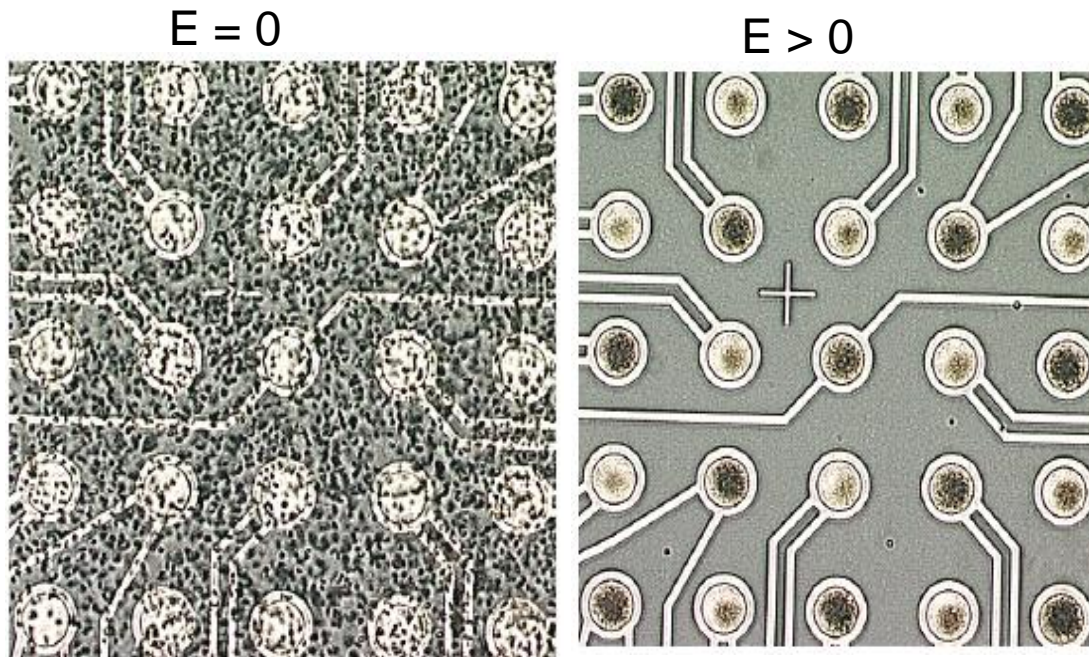
Easier to remove heat from a smaller sample

- Thermal Mass (specific heat X Volume) scales as  $l^3$ , but heat removal scales as  $l^2$  (proportional to area)
- Evaporation or Heat loss increases as Surface Area/Volume increases



# Electrophoresis

- Stirring vs. Diffusion, Diffusion is the dominant mixing process in MEMS
- Separation of bio-molecules, cells by the application of electric fields



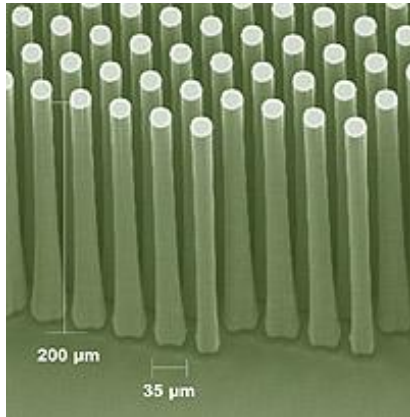
Separation of different types of blood cells

# Miniature Clinical Diagnostic Systems

Fast, on-site, real time testing

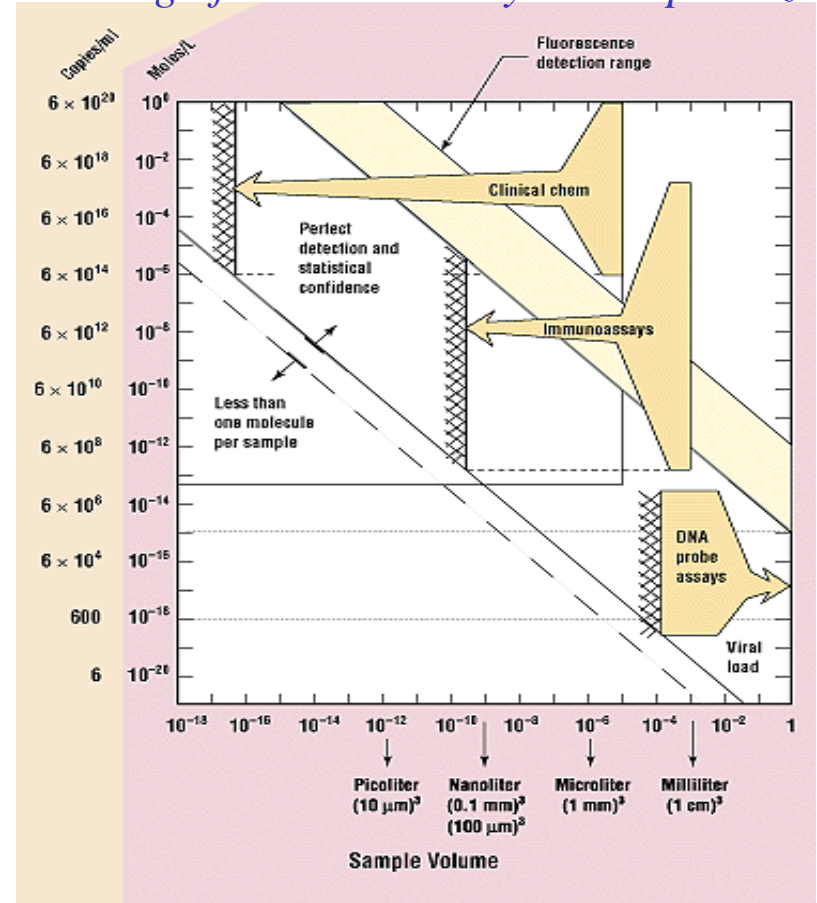
Principle: High Isolation, Low Mass, Localized heating possible

- Polymerase Chain Reaction (PCR) for DNA amplification



Micro-fabricated DNA capture chip (Cepheid, CA)

## Scaling of Minimal Analytic Sample Size



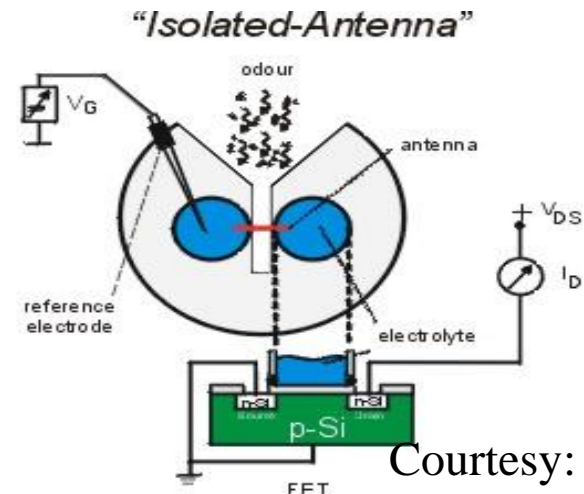
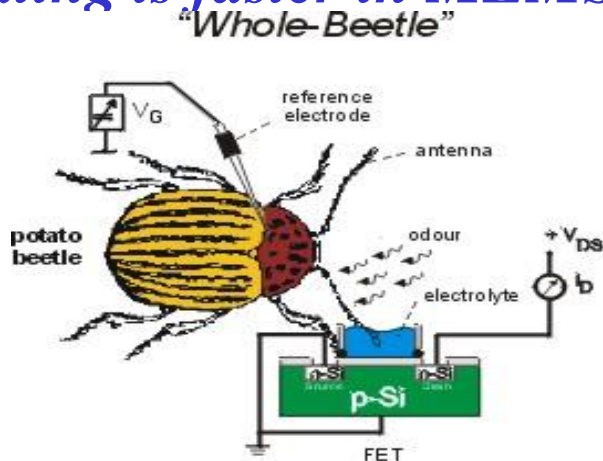
# Scaling in Electricity and Magnetism

- Potentiometric devices (measure voltage) are *scale invariant*
- Amperometric devices (measure current) are *more sensitive* when miniaturized

e.g.,  $\mu$ -array electrochemical detectors (Kel-F) for trace amounts of ions



*Electroplating is faster in MEMS*



Courtesy: M. Schoning

# Scaling in electromagnetic systems

## Constant electrostatic stresses/field strengths

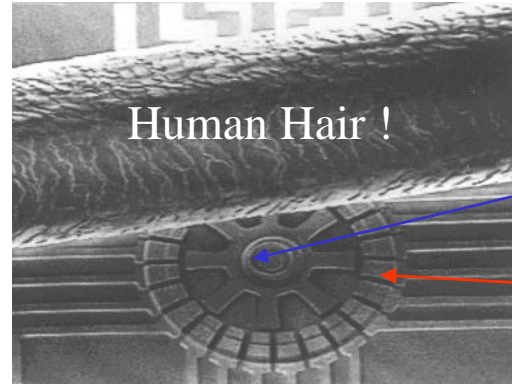
Voltage  $\propto$  Electrostatic field  $\cdot$  length  $\propto L$

Resistance  $\propto \frac{\text{Length}}{\text{Area}} \propto L^{-1}$

Ohmic current  $\propto \frac{\text{Voltage}}{\text{Resistance}} \propto L^2$

*Current density (I/A) is scale invariant*

# Scaling in Electricity and Magnetism



## Electric:

$\epsilon$ : dielectric permittivity ( $8.85 \cdot 10^{-12}$  F/m)

E: electric field

(Breakdown for air: 30 kV/cm)

$$U_{\text{electric}} = \frac{1}{2} \epsilon E^2$$

## Magnetic:

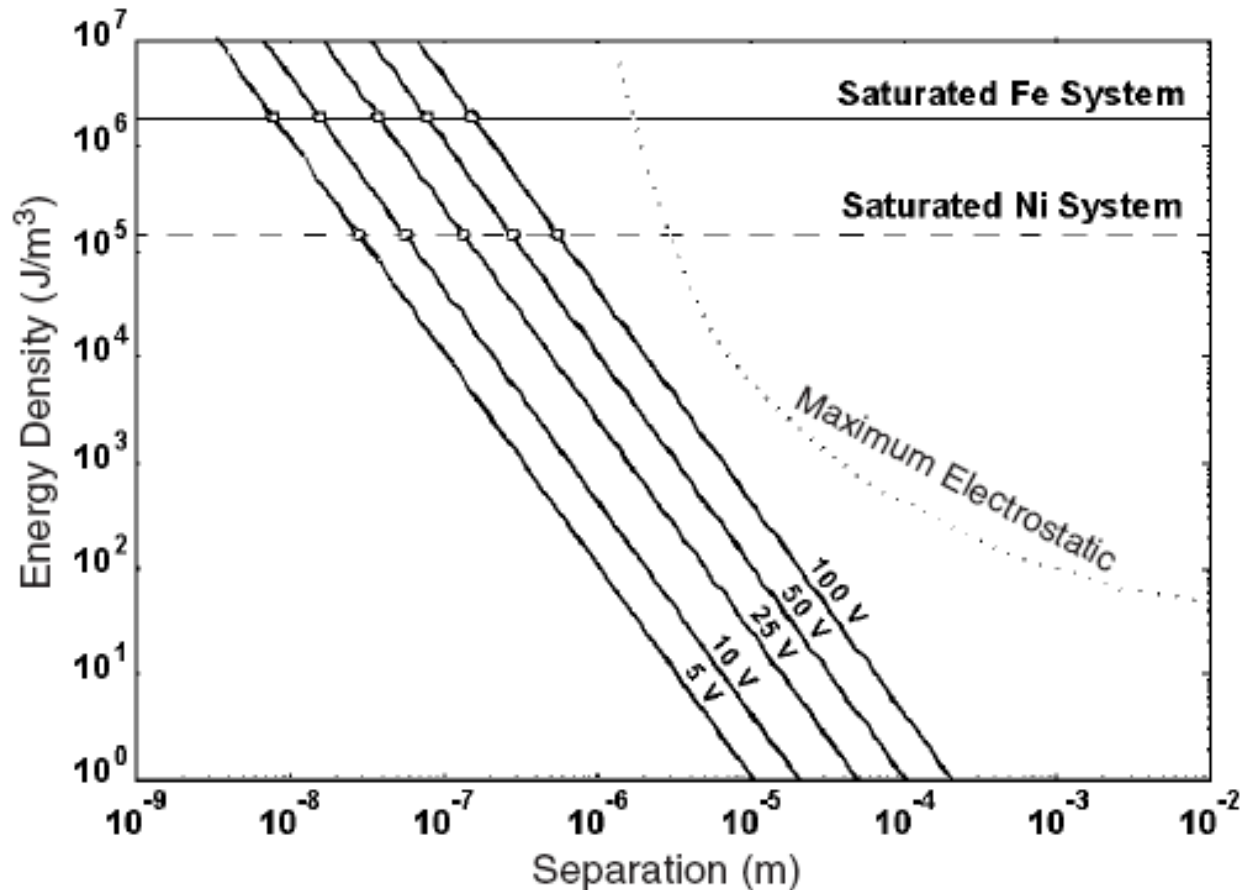
$\mu$ : permeability ( $4\pi \cdot 10^{-7}$  N/A<sup>2</sup>)

B: Magnetic field

$$U_{\text{magnetic}} = \frac{1}{2} \left( \frac{B^2}{\mu} \right)$$



# Electrostatics is more commonly used in MEMS



Macroscopic machines: Magnetic based

Microscopic machines: Electrostatics based

Judy, Smart Mater. Struc, 10, 1115, (2001)

