

## Direct numerical simulations of the turbulence evolution in a uniformly sheared and stably stratified flow

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Direct numerical simulations (DNS) are performed to investigate the evolution of turbulence in a uniformly sheared and stably stratified flow. The spatial discretization is accomplished by a spectral collocation method, and the solution is advanced in time with a third-order Runge–Kutta scheme. The turbulence evolution is found to depend strongly on at least three parameters: the gradient Richardson number  $Ri$ , the initial value of the Taylor microscale Reynolds number  $Re_\lambda$ , and the initial value of the shear number  $SK/\epsilon$ . The effect of each parameter is individually studied while the remaining parameters are kept constant. The evolution of the turbulent kinetic energy  $K$  is found to follow approximately an exponential law. The shear number  $SK/\epsilon$ , whose effect has not been investigated in previous studies, was found to have a strong non-monotone influence on the turbulence evolution. Larger values of the shear number do not necessarily lead to a larger value of the eventual growth rate of the turbulent kinetic energy. Variation of the Reynolds number  $Re_\lambda$  indicated that the turbulence growth rate tends to become insensitive to  $Re_\lambda$  at the higher end of the  $Re_\lambda$  range studied here. The dependence of the critical Richardson number  $Ri_{cr}$ , which separates asymptotic growth of the turbulent kinetic energy  $K$  from asymptotic decay, on the initial values of the Reynolds number  $Re_\lambda$  and the shear number  $SK/\epsilon$  was also obtained. It was found that the critical Richardson number varied over the range  $0.04 < Ri_{cr} < 0.17$  in our DNS due to its strong dependence on Reynolds and shear numbers.

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### 1. Introduction

During the past decade, both numerical simulations and laboratory experiments of homogeneous turbulence in a stably stratified shear flow have been performed to investigate the effects of shear and stratification on the turbulence evolution. These studies were undertaken with the goal of explaining and understanding the turbulent microstructure of geophysical flows in the atmosphere and ocean. The present paper considers stratified shear flow with a uniform gradient of the ambient density  $S_\rho$  and uniform mean shear rate  $S$ . The primary non-dimensional parameter that determines the turbulence evolution in such a flow is the gradient Richardson number  $Ri = N^2/S^2$ , the square of the ratio of the Brunt–Väisälä frequency  $N =$

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$[(-gd\bar{\rho}/dz)/\rho_0]^{1/2}$  and the mean shear rate  $S$ . A critical value of the Richardson number  $Ri_{cr}$  can be found for which the turbulent kinetic energy  $K$  stays constant in time, grows in time for  $Ri < Ri_{cr}$ , and decays in time for  $Ri > Ri_{cr}$ . Knowledge of the critical Richardson number is therefore important as it divides the asymptotic fate of the nonlinearly evolving turbulence into growth or decay. The significance of the Richardson number was first mentioned in Taylor's 1914 Adams Prize Essay†. Subsequent studies by Richardson (1920), Prandtl (1930), Taylor (1931), Goldstein (1931), and Miles (1986) confirmed the important role of the Richardson number  $Ri$  and established  $Ri > 1$  as the sufficient condition for stability based on energy arguments. Linear, inviscid stability theory yields  $Ri > 1/4$  as the sufficient condition for stability of a stratified shear flow (Miles 1961 and Howard 1961). An analysis of the equations of homogeneous turbulence in a stratified shear flow was applied to atmospheric flow by Batchelor (1953*b*) and Townsend (1957). Using experimental observations of entrainment, Townsend estimated the critical value of the Richardson number to be about  $Ri_{cr} = 0.08$ .

The first direct numerical simulations (DNS) of homogeneous turbulence in a stratified shear flow were performed by Gerz, Schumann & Elghobashi (1989). In their investigation shear periodic boundary conditions were used in combination with a finite difference/spectral collocation method. The simulations were performed on a grid with  $64^3$  points. The Richardson number was varied over the range  $0 \leq Ri \leq 1$ . Gerz *et al.* found that the evolution of the turbulent kinetic energy  $K$  depends strongly on the Richardson number  $Ri$ . The initial energy partition  $\eta$ , the ratio of the potential to kinetic energy, was found to lead to different initial transients but not to influence the turbulence evolution over a long period of time. Gerz *et al.* investigated the occurrence of counter-gradient heat fluxes which were more prominent for large Richardson numbers and high molecular Prandtl numbers.

Holt, Koseff & Ferziger (1992) investigated the turbulence evolution in a homogeneous stably stratified shear flow using purely periodic boundary conditions in combination with a spectral collocation method. The simulations were performed on a grid with  $128^3$  points. The simulations covered a parameter range  $0 \leq Ri \leq 1$  and  $20 \leq Re_\lambda \leq 100$ , where  $Re_\lambda$  is the Taylor microscale Reynolds number. They divided the turbulence evolution into shear- or buoyancy-dominated regimes for the Richardson number smaller or larger than the transitional value  $Ri_t$  for which the vertical density flux vanishes. Simulations with constant turbulent kinetic energy  $K$  were found to lie in the shear-dominated regime, that is  $Ri_{cr} < Ri_t$ . Holt *et al.* investigated the influence of the initial energy partition  $\eta$  and of the molecular Prandtl number  $Pr$  and agreed with the conclusions of Gerz *et al.* (1989). In addition the dependence of the turbulence evolution on the Reynolds number  $Re_\lambda$  was addressed. It was found that the critical Richardson number  $Ri_{cr}$  increases with the Reynolds number  $Re_\lambda$ .

Kaltenbach, Gerz & Schumann (1994) performed large-eddy simulations to investigate the turbulent transport in a homogeneous stably stratified shear flow. They observed that vertical overturning and mixing is suppressed when the inverse Froude number  $Fi = Nl/q$  ( $l$  is an integral length scale of the velocity, and  $q$  is the root-mean-square velocity) exceeds a critical value of about 3.

Rohr *et al.* (1988) performed the first successful experiments on homogeneous turbulence in a stably stratified shear flow in a salt-stratified water channel. A

† According to Taylor (1931) and Miles (1961). The Adams Prize Essay is not available to the authors.

variation of the Richardson number  $Ri$  led to constant vertical velocity fluctuations  $u_3^{rms}$  for  $Ri = Ri_{cr} = 0.25 \pm 0.05$ , growing  $u_3^{rms}$  for  $Ri < Ri_{cr}$ , and decaying  $u_3^{rms}$  for  $Ri > Ri_{cr}$ .

Piccirillo & Van Atta (1997) investigated the turbulence evolution in a homogeneous stably stratified shear flow using a thermally stratified wind tunnel, in which they varied the Reynolds number  $Re_\lambda$  over a small range by using a variety of different turbulence-generating grids. They found a decrease of the critical Richardson number  $Ri_{cr}$  with increasing grid size and thus increasing Reynolds number  $Re_\lambda$ . Holt *et al.* (1992), on the other hand, found an increase of  $Ri_{cr}$  with increasing  $Re_\lambda$ .

The evolution of high-shear-number flow has been studied analytically and numerically. For high shear numbers  $SK/\epsilon$ , the nonlinear term in the momentum equation may become less important than the shear forcing term. Therefore the nonlinear term can be neglected, and a simplified analysis can be performed instead. This approach is known as rapid distortion theory (RDT). An introduction to RDT is given in the review article by Hunt (1978) and more recently by Savill (1987). The application of RDT to viscous unstratified shear flow by Rogers (1991) predicts eventual decay of the turbulent kinetic energy after initial algebraic growth. Because of the neglect of the nonlinear term, RDT results formally apply for short-time evolution ( $St = O(1)$ ). An experimental study of unstratified high-shear-number flow was recently performed by Souza, Nguyen & Tavoularis (1995). They found that the magnitude of the Reynolds shear stress anisotropy decreases at large shear numbers.

A motivation of this study is to explain the apparently different dependence of the critical Richardson number  $Ri_{cr}$  on the Reynolds number  $Re_\lambda$  observed in direct numerical simulations by Holt *et al.* (1992) and experimental investigations by Piccirillo & Van Atta (1997). Assuming that both DNS and experiments are correct, we hypothesized that, perhaps, there is another parameter in the problem. An analysis of the governing equations shows that the shear number  $SK/\epsilon$  is an additional parameter, and an inspection of the data of Piccirillo & Van Atta (1997) shows that the different turbulence-generating grids lead to not only different Reynolds numbers but also different shear numbers. We perform a systematic DNS study of the effect of the shear number  $SK/\epsilon$  on the turbulence evolution and show that, indeed, the shear number has a strong influence. As this work progressed, the DNS results prompted a timely assessment of the effect of the shear number  $SK/\epsilon$  in the laboratory experiments, as described in Piccirillo & Van Atta (1997). It should be noted that the previous numerical studies did not investigate the role of  $SK/\epsilon$ .

An additional motivation of the present study is to perform direct numerical simulations at the higher Reynolds numbers accessible with today's supercomputers, in order to investigate the possibility of a decreased influence of the Reynolds number  $Re_\lambda$  on the turbulence evolution at high values of  $Re_\lambda$ .

In the following section, the evolution and transport equations used in this study are introduced, and the non-dimensional parameters governing the turbulence evolution are derived. In §3 the numerical method is described, and the initial conditions and their importance for effective parameterization are addressed. The dependence of the evolution of the turbulent kinetic energy on the non-dimensional parameters derived in §2 is presented in §4. In §5 the asymptotic evolution of the turbulent kinetic energy is summarized. The dependence of the critical Richardson number on the remaining non-dimensional parameters is discussed in §6. Section 7 summarizes our conclusions on the turbulence evolution in a stably stratified shear flow. The numerical method used in this study is validated in an Appendix.

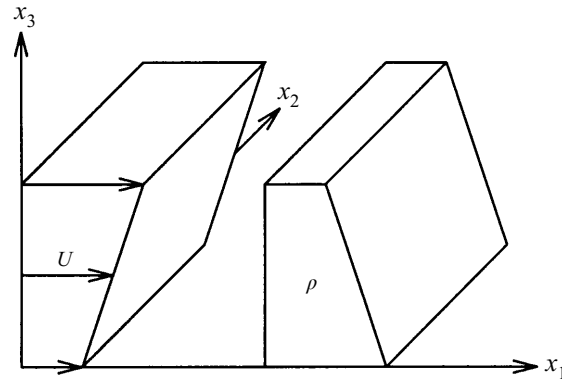


FIGURE 1. Sketch of the mean velocity and the mean density.

## 2. Mathematical preliminaries

In this section the equations of motion used to describe the evolution of a turbulent stratified shear flow are presented. In addition the transport equations for second-order moments are introduced. Finally the non-dimensional parameters governing the turbulence evolution are derived.

### 2.1. Equations of motion

Our study of a turbulent stratified shear flow is based on the continuity equation of an incompressible fluid, the Navier–Stokes equation and a transport equation for the density. In the following,  $X_i$  is the  $i$ th coordinate of an orthonormal Cartesian coordinate system,  $U_i$  is the  $i$ th component of the total velocity,  $\varrho$  is the total density,  $P$  is the total pressure,  $g$  is the gravitational constant,  $\mu$  is the molecular viscosity of the fluid, and  $\kappa$  is the diffusion coefficient. The dependent variables  $U_i = \bar{U}_i + u_i$ ,  $\varrho = \bar{\varrho} + \rho$ , and  $P = \bar{P} + p$  are decomposed into a mean part (denoted by an overbar) and a fluctuating part (denoted by lower-case or alternative greek letters). The mean velocity  $\bar{U}_i = SX_3\delta_{i1}$  and the mean density  $\bar{\varrho} = \rho_0 + S_\rho X_3$  are given by the constant velocity gradient  $S = \partial\bar{U}_1/\partial X_3$  and the constant density gradient  $S_\rho = \partial\bar{\varrho}/\partial X_3$ , as shown in figure 1. It is assumed that a mean pressure gradient balances the mean buoyancy force, that is  $0 = -\partial\bar{P}/\partial X_3 - g(\rho_0 + S_\rho X_3)$ . This decomposition is introduced into the equations of motion. Furthermore the Boussinesq approximation is employed.

Spectral accuracy in the spatial discretization can be obtained by the use of periodic boundary conditions, but due to the effect of shear, periodic boundary conditions cannot be applied directly to the equations of motion. In previous simulations, Gerz *et al.* (1989) used shear periodic boundary conditions in combination with finite difference approximations. To use strictly periodic boundary conditions, the equations must be transformed into a frame of reference that is moving with the mean flow. This approach was originally developed by Rogallo (1981), and it has been used in a number of simulations of turbulent shear flow (Rogers, Moin & Reynolds 1986), compressible turbulent shear flow (Sarkar 1995) and turbulent stratified shear flow (Holt *et al.* 1992). After non-dimensionalization, the transformation  $t = T$  and  $x_i = X_i - STX_3\delta_{i1}$  yields the following equations:

$$\frac{\partial u_j}{\partial x_j} - St \frac{\partial u_3}{\partial x_1} = 0, \quad (2.1)$$

$$\begin{aligned} \frac{\partial u_i}{\partial t} + u_j \frac{\partial u_i}{\partial x_j} - St u_3 \frac{\partial u_i}{\partial x_1} + S u_3 \delta_{i1} = & -\frac{1}{\rho_0} \left( \frac{\partial p}{\partial x_i} - St \frac{\partial p}{\partial x_1} \delta_{i3} \right) - G \rho \delta_{i3} \\ & + \frac{1}{Re} \left( \frac{\partial^2 u_i}{\partial x_j \partial x_j} - 2St \frac{\partial^2 u_i}{\partial x_1 \partial x_3} + S^2 t^2 \frac{\partial^2 u_i}{\partial x_1 \partial x_1} \right), \end{aligned} \quad (2.2)$$

$$\frac{\partial \rho}{\partial t} + u_j \frac{\partial \rho}{\partial x_j} - St u_3 \frac{\partial \rho}{\partial x_1} + S \rho u_3 = \frac{1}{Re Pr} \left( \frac{\partial^2 \rho}{\partial x_j \partial x_j} - 2St \frac{\partial^2 \rho}{\partial x_1 \partial x_3} + S^2 t^2 \frac{\partial^2 \rho}{\partial x_1 \partial x_1} \right) \quad (2.3)$$

Here  $Re = UL/\nu$  is the Reynolds number,  $Pr = \nu/\kappa$  is the Prandtl number, and  $G = gL/U^2$  is the non-dimensional gravity coefficient.  $U$ ,  $L$ , and  $\rho_0$  are characteristic scales for velocity, length, and density, respectively. The numerical method used to solve this set of equations is introduced in §3.1.

## 2.2. Transport equations

In this subsection the transport equations for second-order moments are derived. The overbar  $\bar{a}$  denotes the volume average of  $a$ , which is the appropriate Reynolds average in the case of homogeneous flow studied here. The homogeneity is preserved due to the uniformity of the ambient density gradient  $S_\rho$  and the mean shear rate  $S$  as discussed by Batchelor (1953a). The transport equation for the Reynolds stress  $R_{ij} = \overline{u_i u_j}$  is derived from the  $i$ th and  $j$ th components of the momentum equation:

$$\frac{d}{dt} R_{ij} = P_{ij} - B_{ij} + T_{ij} - \epsilon_{ij}, \quad (2.4)$$

$$P_{ij} = -S(\overline{u_i u_3} \delta_{j1} + \overline{u_j u_3} \delta_{i1}), \quad (2.5)$$

$$B_{ij} = \frac{g}{\rho_0} (\overline{u_i \rho} \delta_{j3} + \overline{u_j \rho} \delta_{i3}), \quad (2.6)$$

$$T_{ij} = \frac{1}{\rho_0} \left( \overline{p \frac{\partial u_i}{\partial x_j}} + \overline{p \frac{\partial u_j}{\partial x_i}} \right), \quad (2.7)$$

$$\epsilon_{ij} = 2\nu \overline{\frac{\partial u_i}{\partial x_k} \frac{\partial u_j}{\partial x_k}}. \quad (2.8)$$

Here  $P_{ij}$  is the production term,  $B_{ij}$  the buoyancy term,  $T_{ij}$  the pressure-strain term, and  $\epsilon_{ij}$  the dissipation term. The trace of this equation gives the transport equation for the kinetic energy  $K = \overline{u_i u_i}/2$ :

$$\frac{d}{dt} K = \frac{d}{dt} \left( \frac{1}{2} \overline{u_i u_i} \right) = P - B - \epsilon, \quad (2.9)$$

$$P = -S \overline{u_1 u_3}, \quad (2.10)$$

$$B = \frac{g}{\rho_0} \overline{u_3 \rho}, \quad (2.11)$$

$$\epsilon = \nu \overline{\frac{\partial u_i}{\partial x_k} \frac{\partial u_i}{\partial x_k}}. \quad (2.12)$$

In addition, the anisotropy tensor  $b_{ij}$  is defined as

$$b_{ij} = \frac{\overline{u_i u_j}}{\overline{u_k u_k}} - \frac{1}{3} \delta_{ij}. \quad (2.13)$$

The transport equations for the mass fluxes  $M_i = \overline{u_i \rho}$  are derived from the momentum equation and the transport equation for the density:

$$\frac{d}{dt} M_i = -S \overline{u_i \rho} - S_\rho \overline{u_i u_3} - \frac{g}{\rho_0} \overline{\rho \rho} \delta_{i3} + \frac{1}{\rho_0} p \frac{\partial \overline{\rho}}{\partial x_i} - \frac{v}{\kappa} (v + \kappa) \frac{\partial u_i}{\partial x_k} \frac{\partial \overline{\rho}}{\partial x_k}. \quad (2.14)$$

Finally the transport equation for the density variance  $\overline{\rho \rho}$  is derived from the transport equation:

$$\frac{d}{dt} \left( \frac{1}{2} \overline{\rho \rho} \right) = P_\rho - \chi, \quad (2.15)$$

$$P_\rho = -S_\rho \overline{u_3 \rho}, \quad (2.16)$$

$$\chi = \kappa \frac{\partial \overline{\rho}}{\partial x_k} \frac{\partial \overline{\rho}}{\partial x_k}. \quad (2.17)$$

The potential energy  $K_\rho$  can be computed from the density variance:

$$K_\rho = \frac{1}{2} \frac{g}{\rho_0 |S_\rho|} \overline{\rho \rho}. \quad (2.18)$$

### 2.3. Parameters governing the turbulence evolution

To derive the non-dimensional parameters governing the turbulence evolution, the transport equation for the turbulent kinetic energy  $K$  is scaled. Let  $q$  be the characteristic velocity scale,  $l$  the characteristic length scale and  $r$  the characteristic density scale. A characteristic time scale for the turbulence evolution is then given by  $\tau = l/q$ . In addition the Taylor microscale  $\lambda$  is introduced as a derivative length scale by  $\epsilon = 5vq^2/\lambda^2$ . The terms of the transport equation for the kinetic energy  $K$  are divided by the dissipation  $\epsilon$ :

$$\underbrace{\frac{1}{\epsilon} \frac{d}{dt} K}_1 = \underbrace{\frac{P}{\epsilon}}_2 - \underbrace{\frac{B}{\epsilon}}_3 - 1 \quad (2.19)$$

and scaled as follows:

$$O(\text{term 1}) = Re_\lambda \lambda / l, \quad (2.20)$$

$$O(\text{term 2}) = SK / \epsilon, \quad (2.21)$$

$$O(\text{term 3}) = Ri \frac{L_e}{l} \left( \frac{SK}{\epsilon} \right)^2 \frac{1}{Re_\lambda} \frac{l}{\lambda}. \quad (2.22)$$

Here  $Re_\lambda = q\lambda/v$  denotes the Reynolds number based on the Taylor microscale,  $Ri = -(gS_\rho)/(\rho_0 S^2)$  the Richardson number, and  $L_e = r/S_\rho$  the Ellison scale. The kinetic energy is  $K = O(q^2)$ . Therefore, the non-dimensional parameters governing the turbulence evolution for this low-Reynolds-number scaling are the Richardson number  $Ri$ , the Taylor microscale Reynolds number  $Re_\lambda$  and the shear number  $SK/\epsilon$ . In addition, the initial conditions influence the turbulence evolution by the length-scale ratios  $\lambda/l$  of the velocity fields and  $L_e/l$  of the density field.

For high Reynolds numbers, the dissipation varies as  $\epsilon = O(q^3/l)$  or equivalently  $O(l/\lambda) = Re_\lambda$ . This simplifies the scaling to

$$O(\text{term 1}) = 1, \quad (2.23)$$

$$O(\text{term 2}) = SK / \epsilon, \quad (2.24)$$

$$O(\text{term } 3) = Ri \frac{L_e}{l} \left( \frac{SK}{\epsilon} \right)^2. \quad (2.25)$$

Therefore, the non-dimensional parameters governing the turbulence evolution for the high-Reynolds-number scaling are the Richardson number  $Ri$ , the shear number  $SK/\epsilon$ , and the ratio  $L_e/l$ .

Additional parameters can be obtained from the evolution equation of the potential energy. These parameters are not considered in this investigation. Effects of a variation of the molecular Prandtl number  $Pr$  and the initial energy partition  $\eta$ , the ratio of potential to kinetic energy, were considered by Gerz *et al.* (1989) and Holt *et al.* (1992). The effect of varying the shear number  $SK/\epsilon$  was not studied in previous investigations.

We emphasize that, for a given initial spectral shape of the flow perturbations, a parameterization based on the initial values of the Reynolds number  $Re_\lambda$  and the shear number  $SK/\epsilon$  is possible, because initially different values of these parameters remain different throughout all simulations performed in this study, as shown in figures 17(a) and 17(b).

### 3. Numerical procedure

In this section the numerical method is described, and the initial conditions and their importance are discussed. A validation of the numerical method is given in the Appendix.

#### 3.1. Numerical algorithm

The numerical scheme uses a spectral collocation method for the spatial discretization. To compute spatial partial derivatives, the dependent variables are transformed into Fourier space using the fast Fourier transformation algorithm, multiplied with the corresponding wavenumbers, and transformed back into physical space. Second derivatives are computed by applying this method successively. The nonlinear terms are computed in physical space.

The solution is advanced in time using a third-order Runge–Kutta method. During the time advancement the coordinate system in the moving frame of reference becomes more and more skewed. Following a method originally devised by Rogallo (1981), the coordinate system is reoriented from  $+45^\circ$  to  $-45^\circ$  using the periodic structure of the dependent variables. The regridding procedure produces aliasing errors. These errors are controlled by truncation of the affected modes ( $2k_{max}/3 < k < k_{max}$ ) before and after the regridding procedure.

The results presented here are from simulations using  $128^3$  grid points, with the following exceptions. The low-Reynolds-number  $Re_\lambda \leq 22.36$  and low-shear-number  $SK/\epsilon \leq 4.0$  simulations are performed on a  $96^3$  grid, and the high-Reynolds-number simulations  $Re_\lambda \geq 67.08$  are performed on a  $144^3$  grid.

#### 3.2. Initial conditions

The initial spectra have to be defined carefully to allow a parameterization of the flow evolution based on the initial values of the parameters discussed in §2.3. Usually a random number generator is used to produce the initial fluctuating fields. These fields follow a specified initial energy spectrum and fulfil the continuity equation, but they contain no phase information and have no initial spectral transfer. The energy spectrum  $E$  is half the shell average of the squared amplitude of the three-dimensional Fourier transformation of the velocity. Initial energy spectra used in

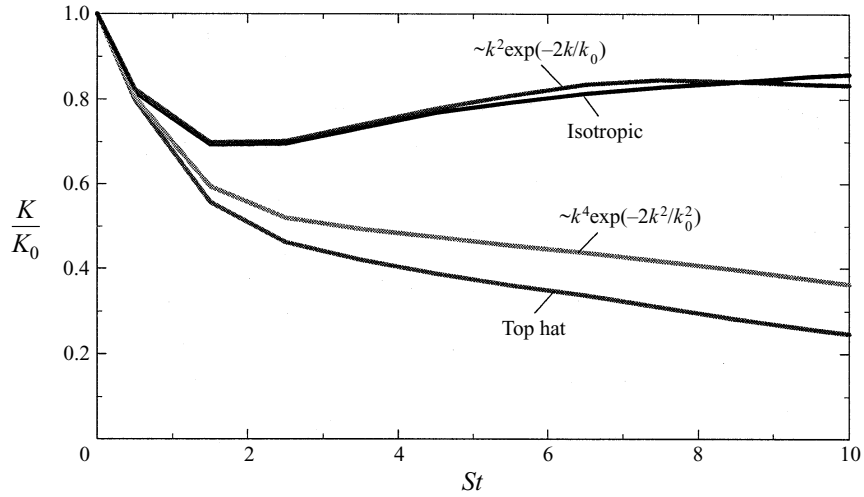


FIGURE 2. Evolution of the turbulent kinetic energy  $K$  for different initial spectra. The initial values of the non-dimensional parameters for all cases are  $Ri = 0.08$ ,  $Re_\lambda = 22.36$ , and  $SK/\epsilon = 2.0$ .

previous investigations include the top-hat spectrum (Holt *et al.* 1992)

$$E(k) = \begin{cases} E_0 & \text{for } k_1 < k < k_2 \\ 0 & \text{elsewhere,} \end{cases} \quad (3.1)$$

and the exponential spectrum (Sarkar 1995)

$$E(k) = E_0 k^4 \exp(-2k^2/k_0^2). \quad (3.2)$$

Unfortunately these spectra result in an initial transient in the simulations that does not allow a parameterization based on initial values. In figure 2 the evolution of the turbulent kinetic energy  $K$  is shown for simulations with different initial radial spectra but identical initial values of the Richardson number  $Ri = 0.08$ , the Reynolds number  $Re_\lambda = 22.36$ , and the shear number  $SK/\epsilon = 2.0$ . The simulations with equations (3.1) and (3.2) as initial spectra show a large initial decay of  $K$  during the transient phase and finally continue to decay. In figures 3(a) and 3(b) the evolution of the Reynolds number  $Re_\lambda$  and the shear number  $SK/\epsilon$  are shown. During the initial transient these numbers exhibit a large drop. Therefore their initial values are not characteristic of the asymptotic evolution of the turbulence.

To reduce the initial drop in  $K$ ,  $Re_\lambda$ , and  $SK/\epsilon$ , an alternative initialization method is introduced. The actual simulation of a stratified shear flow uses as initial fields the resulting fields of a simulation of unstratified unsheared isotropic turbulence. The initial value of the Reynolds number of the initialization simulation is chosen such that the final value matches the target initial Reynolds number of the actual simulation. The initialization simulation is advanced for about one eddy-turnover time and thus beyond the initial transient. During this time the skewness of  $\partial u/\partial x$ , which is a measure of the spectral transport, increases from zero to a final value  $Sk = -0.46$ . This value is in good agreement with other experimental and numerical results of  $-0.5 < Sk < -0.4$  (Lesieur 1993). From the shape of the spectrum of these simulations the following alternative exponential spectrum was derived:

$$E(k) = E_0 k^2 \exp(-2k/k_0). \quad (3.3)$$

The results of simulations started from these initial conditions are also shown in



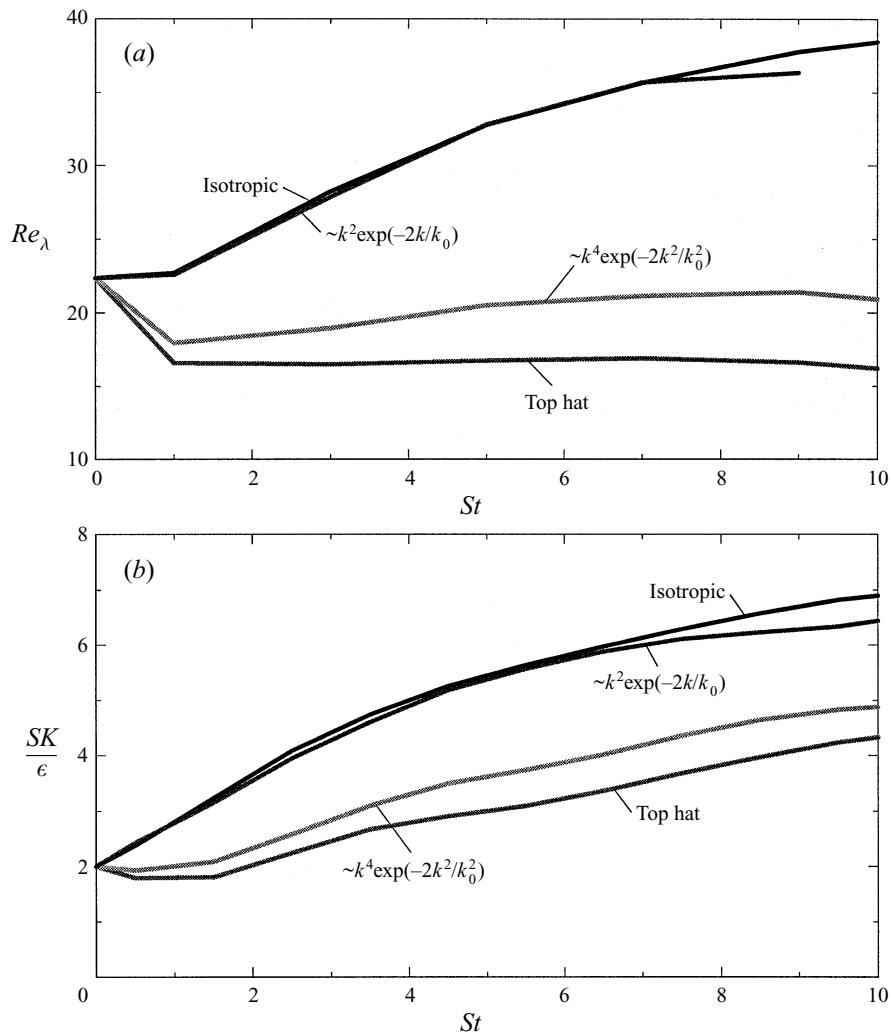


FIGURE 3. Evolution of (a) the Reynolds number  $Re_\lambda$  and (b) the shear number  $SK/\epsilon$  for different initial spectra.

figures 2, 3(a) and 3(b). They result only in a small initial decay of the turbulent kinetic energy  $K$ , which has a physical explanation that will be discussed in §4. Neither  $Re_\lambda$  nor  $SK/\epsilon$  show an initial drop. The simulations initialized with spectra (3.2) and (3.3) use a peak wavenumber  $k_0 = 8$ . The spectrum of the isotropic turbulence has a peak wavenumber  $k_0 = 7$ . The simulation initialized with the top-hat spectrum uses the wavenumbers  $k_1 = 6$  and  $k_2 = 12$ .

During the initial transient, the energy spectrum defined by the initial conditions evolves into a spectrum characteristic for shear flow. Figure 4(a) shows the evolution of the spectrum for the simulation started from isotropic initial conditions. In the initial phase of the simulation ( $0 < St < 5$ ), the low-wavenumber portion gains energy, and the high-wavenumber portion loses energy, but the general shape of the spectrum changes only slightly. A very similar evolution, though not shown, was observed for the spectrum of the simulation initialized with spectrum (3.3). Figure 4(b) shows the evolution of the spectrum for the simulation initialized with

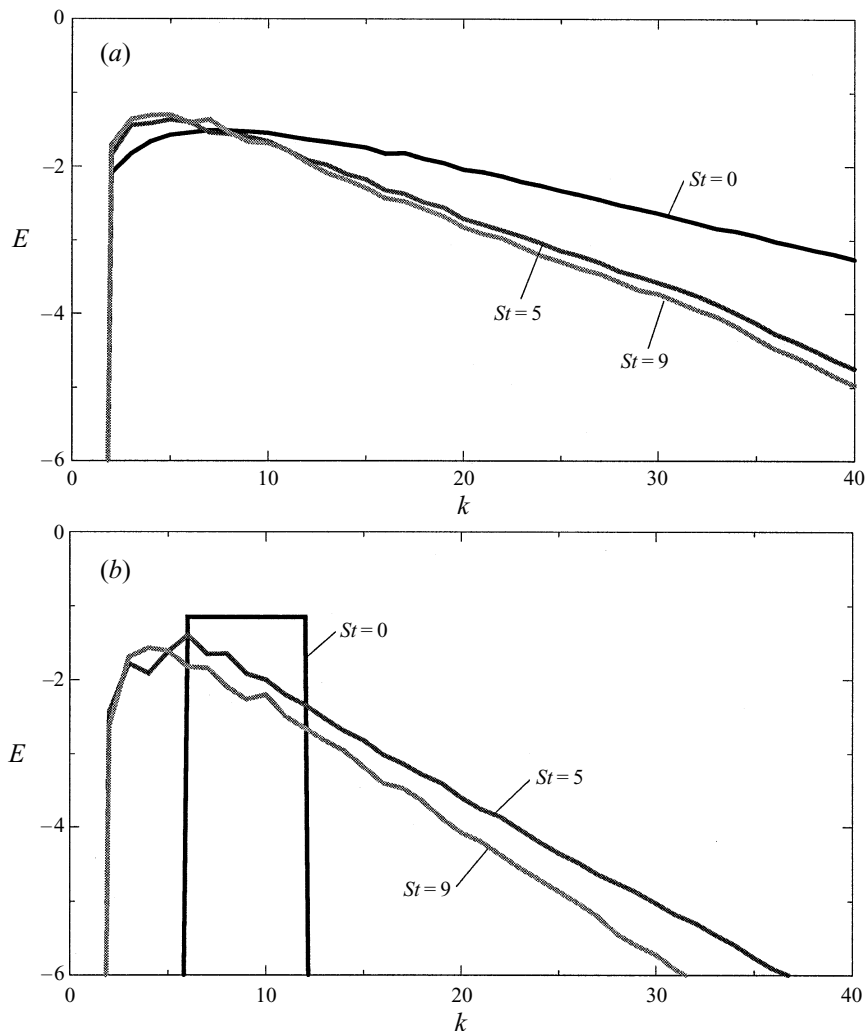


FIGURE 4. Evolution of the energy spectrum (a) for isotropic initial conditions and (b) for random initial conditions with a top-hat spectrum.

the top-hat spectrum. Comparison shows that the initial state does not contain a sufficient amount of energy at the lowest wavenumbers and in the high-wavenumber portion of the spectrum. During the initial transient, energy is redistributed into these wavenumber portions. This increases strongly the viscous dissipation  $\epsilon$ , which in turn results in the large drop of  $SK/\epsilon$  and  $Re_\lambda$ . This renders the initial values of these parameters uncharacteristic for the prediction of the asymptotic state of the flow. A similar evolution was observed for the spectrum of the simulation initialized with spectrum (3.2).

The initial condition of well-developed isotropic turbulence from a previous direct numerical simulation was chosen, because it represents a solution of the equations of motion for unstratified unsheared flow, and it allows an effective parameterization based on initial values. Isotropic initial conditions also compare better with the initial conditions found in experiments, where nearly isotropic conditions develop behind the turbulence-generating grid before the effects of shear and stratification become

	$Ri$	$Re_\lambda$	$SK/\epsilon$	Grid size
<i>Ri</i> series	0.0	44.72	2.0	128 <sup>3</sup>
	0.08	44.72	2.0	128 <sup>3</sup>
	0.12	44.72	2.0	128 <sup>3</sup>
	0.16	44.72	2.0	128 <sup>3</sup>
	0.20	44.72	2.0	128 <sup>3</sup>
$Re_\lambda$ series	0.08	11.18	2.0	96 <sup>3</sup>
	0.08	22.36	2.0	96 <sup>3</sup>
	0.08	33.54	2.0	128 <sup>3</sup>
	0.08	44.72	2.0	128 <sup>3</sup>
	0.08	67.08	2.0	144 <sup>3</sup>
	0.08	89.44	2.0	144 <sup>3</sup>
$SK/\epsilon$ series	0.06	22.36	0.2	96 <sup>3</sup>
	0.06	22.36	0.5	96 <sup>3</sup>
	0.06	22.36	1.0	96 <sup>3</sup>
	0.06	22.36	2.0	96 <sup>3</sup>
	0.06	22.36	4.0	96 <sup>3</sup>
	0.06	22.36	6.0	128 <sup>3</sup>
	0.06	22.36	8.0	128 <sup>3</sup>
	0.06	22.36	10.0	128 <sup>3</sup>

TABLE 1. Overview of the simulations described in this section. The simulations are initialized with well-developed isotropic turbulence fields with no density fluctuations. The molecular Prandtl number  $Pr = 0.72$  is fixed.

important. All the shear flow simulations presented in this paper are based on the initialization method using well-developed isotropic turbulence fields obtained from a separate isotropic turbulence simulation initialized with spectrum (3.3).

#### 4. Evolution of the kinetic energy

This section presents the results of a series of simulations, in which the Richardson number  $Ri$ , the initial value of the Reynolds number  $Re_\lambda$ , and the initial value of the shear number  $SK/\epsilon$  are varied independently. Each section describes the influence of one parameter on the turbulent kinetic energy evolution and gives a physical explanation for that influence.

Table 1 gives an overview of the simulations described in this section. All simulations are initialized with velocity fields taken from simulations of unstratified unshered decaying isotropic turbulence with no density fluctuations. The molecular Prandtl number  $Pr = 0.72$  is fixed.

##### 4.1. Richardson number dependence

All simulations show qualitatively the same dependence on  $Ri$ . Therefore one set of simulations with the initial values  $Re_\lambda = 44.72$  and  $SK/\epsilon = 2.0$  is discussed here.

Figure 5(a) shows the evolution of the turbulent kinetic energy  $K$  as a function of the non-dimensional time  $St$  with  $Ri$  as the variable parameter. After an initial decay  $K$  grows for small Richardson numbers and decays for high Richardson numbers. This makes it possible to define a critical Richardson number  $Ri_{cr}$  for the case of

constant  $K$ :

$$\frac{d}{dt}K \begin{cases} > 0 & \text{for } Ri < Ri_{cr} \\ = 0 & \text{for } Ri = Ri_{cr} \\ < 0 & \text{for } Ri > Ri_{cr}. \end{cases} \quad (4.1)$$

The initial decay in all cases is due to the isotropic initial conditions that cause the production term  $P = -S\overline{u_1 u_3}$  to be initially zero. During the initial phase the production term grows. The initial decay has been observed in other numerical simulations (Gerz *et al.* 1989 and Holt *et al.* 1992) and experimental investigations (Rohr *et al.* 1988 and Piccirillo & Van Atta 1997).

In the case of homogeneous turbulent unstratified shear flow, experiments (Tavoularis & Karnik 1989) and direct numerical simulations (Rogers *et al.* 1986) support eventual exponential growth of the turbulent kinetic energy  $K$ . Rohr *et al.* (1988) have suggested that the evolution of  $K$  in the stratified case may also be exponential. An expression for the non-dimensional growth rate  $\gamma$  is obtained by rewriting the transport equation for the turbulent kinetic energy (2.9):

$$\gamma = \frac{1}{SK} \frac{dK}{dt} = -2b_{13} \left( 1 - \frac{\epsilon}{P} - \frac{B}{P} \right). \quad (4.2)$$

Here  $b_{13}$  is the 1–3 component of the shear stress anisotropy tensor  $b_{ij} = \overline{u_i u_j} / \overline{u_k u_k} - \delta_{ij}/3$ . Under the assumption that each term on the right-hand side of equation (4.2) evolves to an asymptotically constant value for large non-dimensional time  $St$ , the equation can be integrated to obtain

$$K = K_0 \exp(\gamma St). \quad (4.3)$$

The exponential approximation is also shown with dashed lines in figure 5(a). The constant of integration is used to fit the graphs. The agreement shows that exponential growth or decay of the turbulent kinetic energy  $K$  is a good approximation. The exponential decay of  $K$  for the case  $Ri > Ri_{cr}$  is different from the power law decay observed in unsheared decaying initially isotropic turbulence behind a grid. Figure 5(b) shows the evolution of the growth rate  $\gamma$ . Note that a positive value of  $\gamma$  is associated with growth and a negative value with decay of the turbulent kinetic energy  $K$ . The asymptotic value of the growth rate  $\gamma$  is positive for small  $Ri$  and negative for large  $Ri$ . The evolution of the anisotropy  $b_{13}$  is shown in figure 6. The magnitude of  $b_{13}$  decreases with increasing  $Ri$ . Therefore the effect of stratification reduces the anisotropy of the flow introduced by the effect of shear. Figure 7(a) shows the evolution of  $\epsilon/P$  for various  $Ri$ . The asymptotic value of  $\epsilon/P$  increases with increasing  $Ri$ . The evolution of  $B/P$  is presented in figure 7(b). The asymptotic value of the ratio  $B/P$  increases with increasing  $Ri$ .

The value of the critical Richardson number for this set of simulations is about  $Ri_{cr} = 0.167$ . (The method used to obtain the value of  $Ri_{cr}$  is described in §6.) While the other sets show qualitatively the same Richardson number dependence, the value of  $Ri_{cr}$  varies when the initial values of parameters such as  $Re_\lambda$  and the  $SK/\epsilon$  are changed. The previous numerical simulations (Gerz *et al.* 1989 and Holt *et al.* 1992) and previous experimental investigations (Rohr *et al.* 1988 and Piccirillo & Van Atta 1997) found the same qualitative influence of the Richardson number, but the reported values of  $Ri_{cr}$  and the dependence of  $Ri_{cr}$  on other parameters in these investigations show significant differences. This will be addressed in §6.

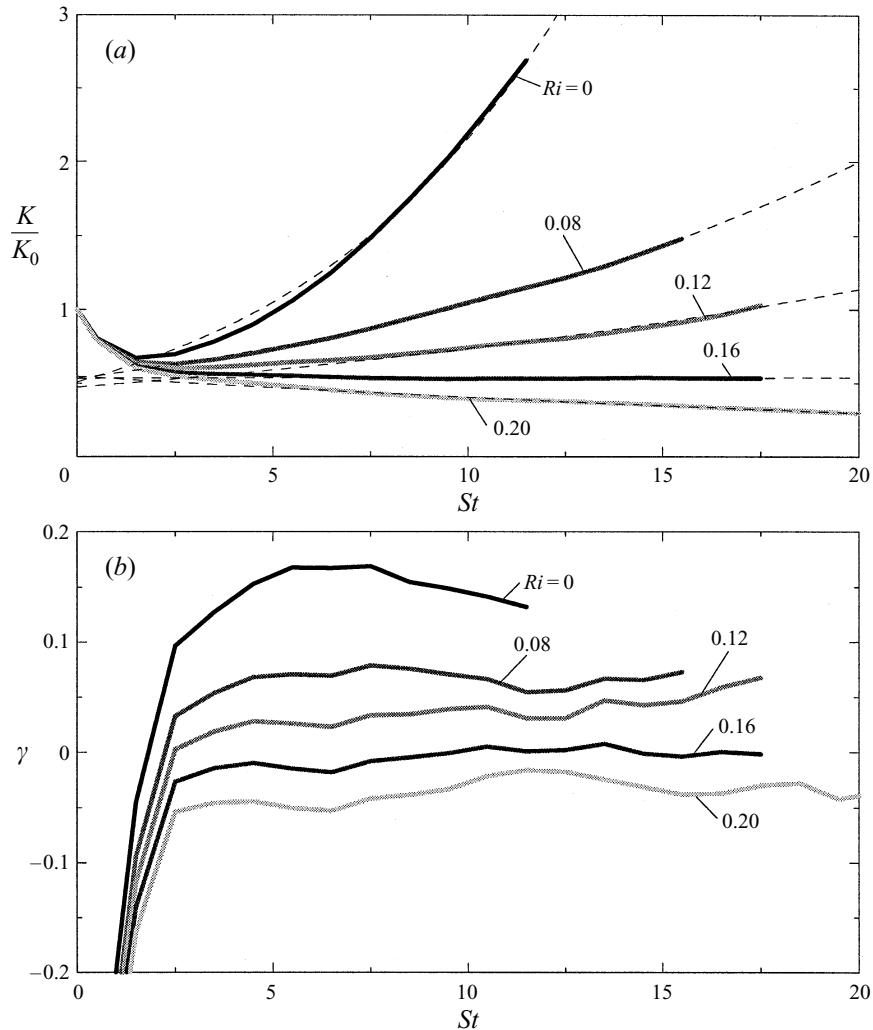


FIGURE 5. Evolution of (a)  $K$  and (b)  $\gamma$  as a function of  $Ri$ . The initial values of the  $Re_\lambda = 44.72$  and  $SK/\epsilon = 2.0$  are fixed. The dashed line shows the exponential approximation of equation (4.3).

To investigate the influence of shear and buoyancy, the turbulent kinetic energy equation is rewritten in a non-dimensional form:

$$\frac{1}{\epsilon} \frac{dK}{dt} = \frac{P}{\epsilon} - \frac{B}{\epsilon} - 1. \quad (4.4)$$

Figures 8(a) and 8(b) show the evolution of  $B/\epsilon$  and  $P/\epsilon$ , respectively. As expected  $B/\epsilon$  grows with the Richardson number. But this increase is too small to account for the change in the evolution of  $K$ . On the other hand  $P/\epsilon$  decreases strongly with increasing Richardson number. Therefore the primary effect of buoyancy is not a direct sink for  $K$  through the buoyancy flux but is the indirect reduction of the shear-induced production of  $K$ . This result is in agreement with the previous investigations by Holt *et al.* (1992) and Rohr *et al.* (1988).

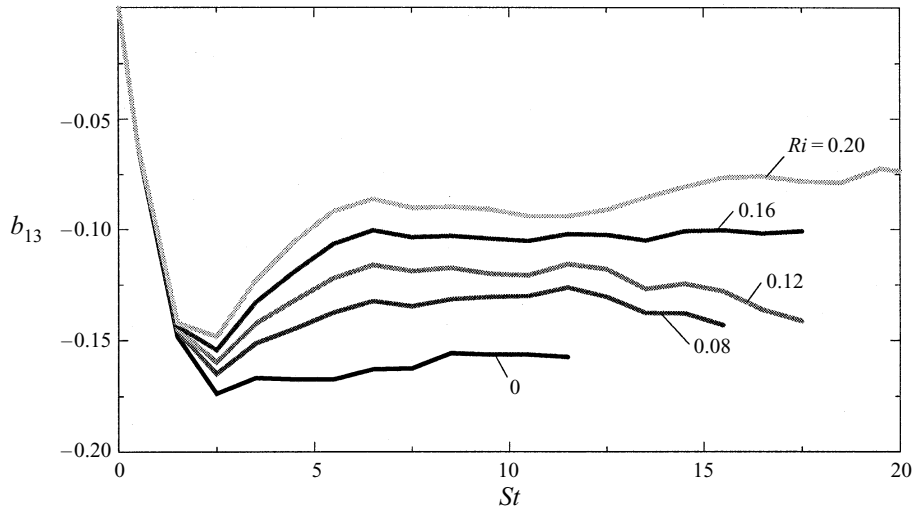


FIGURE 6. Evolution of  $b_{13}$  as a function of  $Ri$ . The initial values of  $Re_\lambda = 44.72$  and  $SK/\epsilon = 2.0$  are fixed.

#### 4.2. Reynolds number dependence

In this subsection the results from simulations with a fixed Richardson number  $Ri = 0.08$  and a fixed initial value of the shear number  $SK/\epsilon = 2.0$  are presented. The initial value of the Taylor microscale Reynolds number  $Re_\lambda$  is varied within the range accessible in this investigation to study its influence on the turbulence evolution.

Figure 9(a) shows the evolution of the turbulent kinetic energy  $K$ . Initially  $K$  decays due to the isotropic initial conditions. This initial decay increases with increasing  $Re_\lambda$ . After this initial phase  $K$  continues to decay for small  $Re_\lambda$  but starts to grow for larger  $Re_\lambda$ .

The evolution of the exponential growth rate  $\gamma$  is shown in figure 9(b). For the low-Reynolds-number simulation with  $Re_\lambda = 11.18$ , the growth rate  $\gamma$  is negative, and the turbulent kinetic energy  $K$  decays. For a finite range of moderate Reynolds numbers with  $Re_\lambda \leq 44.72$ , the growth rate  $\gamma$  increases with increasing  $Re_\lambda$ , becomes positive, and reaches a maximum. For even higher Reynolds numbers  $Re_\lambda > 44.72$ , the growth rate  $\gamma$  decreases slightly with increasing  $Re_\lambda$  and appears to become relatively insensitive to  $Re_\lambda$ .

A necessary requirement for the Reynolds number independence of the growth rate  $\gamma$  is that the turbulent dissipation rate varies according to the high-Reynolds-number scaling  $\epsilon = Au^3/l$  where  $A$  is a constant of order 1. After examining experimental data on grid turbulence, Sreenivasan (1984) determined that  $A = \epsilon l/u^3$  decreases with increasing Reynolds number and asymptotically reaches the value  $A = 1.0$  for  $u\lambda/\nu > 50$  or  $Re_\lambda = q\lambda/\nu > 87$ . Recently, Lohse (1994), in an analytical work that starts with a differential equation for the velocity structure function derived by Effinger & Grossmann (1987), shows that the coefficient  $A$  becomes constant for values of  $Re_\lambda$  greater than approximately 50. In the present work, the Reynolds number at the end of the simulations is in the range  $40 < Re_\lambda < 110$ . Thus, our observation of the turbulence growth rate becoming approximately independent at the higher Reynolds numbers in this range is consistent with the results of Sreenivasan (1984) and Lohse (1994).

The evolution of the anisotropy  $b_{13}$  is presented in figure 10 for various initial

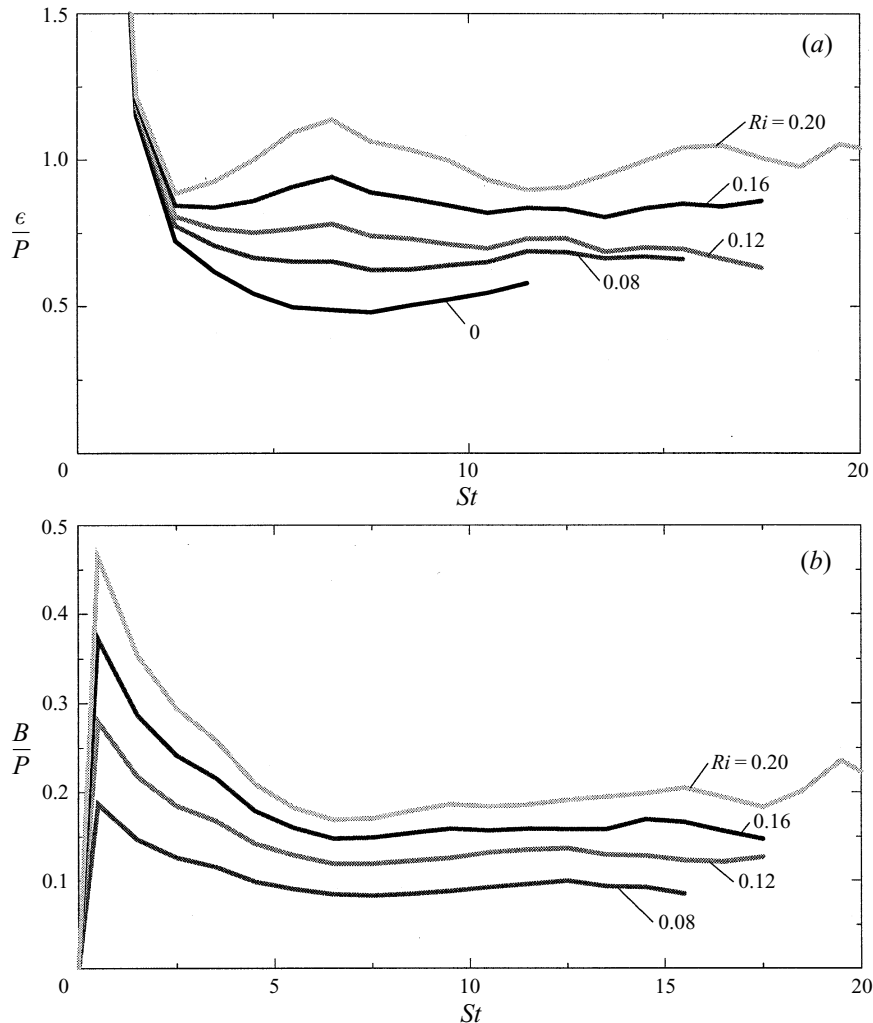


FIGURE 7. Evolution of (a)  $\epsilon/P$  and (b)  $B/P$  as a function of  $Ri$ . The initial values of  $Re_\lambda = 44.72$  and  $SK/\epsilon = 2.0$  are fixed.

values of  $Re_\lambda$ . The asymptotic value of  $b_{13}$  decreases with increasing  $Re_\lambda$  and finally reaches a constant value independent of  $Re_\lambda$ . Figure 11(a) shows the evolution of  $\epsilon/P$  for various  $Re_\lambda$ . The asymptotic value of  $\epsilon/P$  decreases with increasing  $Re_\lambda$  for  $Re_\lambda \leq 44.72$ . For  $Re_\lambda > 44.72$  the ratio  $\epsilon/P$  increases with increasing  $Re_\lambda$  but finally becomes independent of  $Re_\lambda$ . From equation (4.2) it is clear that the minimum of  $\epsilon/P$  at  $Re_\lambda = 44.72$  causes the maximum of the growth rate  $\gamma$  at this Reynolds number. The evolution of  $B/P$  is shown in figure 11(b). The asymptotic value of the ratio  $B/P$  becomes approximately independent of the Reynolds number for  $Re_\lambda \geq 44.72$ .

#### 4.3. Shear number dependence

In this subsection the dependence of the turbulence evolution on the initial value of the shear number  $SK/\epsilon$  is addressed. The Richardson number  $Ri = 0.06$  and the initial value of the Reynolds number  $Re_\lambda = 22.36$  are fixed.

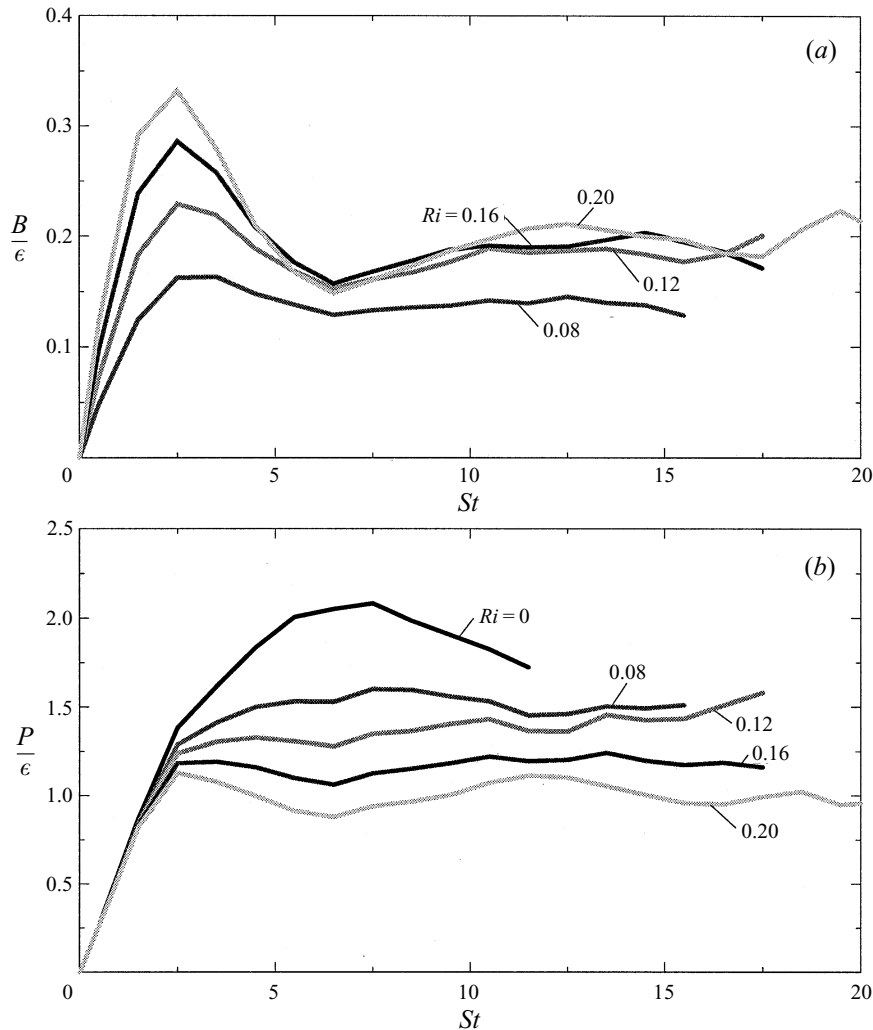


FIGURE 8. Evolution of (a)  $B/\epsilon$  and (b)  $P/\epsilon$  as a function of  $Ri$ . The initial values of  $Re_\lambda = 44.72$  and  $SK/\epsilon = 2.0$  are fixed.

In figure 12(a) the evolution of the turbulent kinetic energy  $K$  is presented as a function of  $SK/\epsilon$ . Initially  $K$  decays due to the isotropic initial conditions. This decay is stronger for the simulations with a smaller  $SK/\epsilon$ , because for these simulations the turbulence time scale  $K/\epsilon$  is small compared to the shear time scale  $1/S$ . Therefore the turbulence has more time to decay before the turbulence production due to shear becomes significant. The growth rate  $\gamma$  of the turbulent kinetic energy is shown in figure 12(b).

The further evolution of the turbulent kinetic energy  $K$  can be divided into three regimes. First, for the low-shear-number simulation with  $SK/\epsilon = 0.2$ , the growth rate  $\gamma$  is negative, and  $K$  continues to decay. For this case the turbulence production due to shear  $P$  is always smaller than the turbulence destruction due to buoyancy effects  $B$  and viscous dissipation  $\epsilon$ , that is  $P < B + \epsilon$ . Second, for a finite regime of moderate shear numbers  $0.5 \leq SK/\epsilon \leq 6.0$ , the growth rate  $\gamma$  is positive, and  $K$  grows. The turbulence production is larger than the turbulence destruction, that is  $P > B + \epsilon$ . Third,



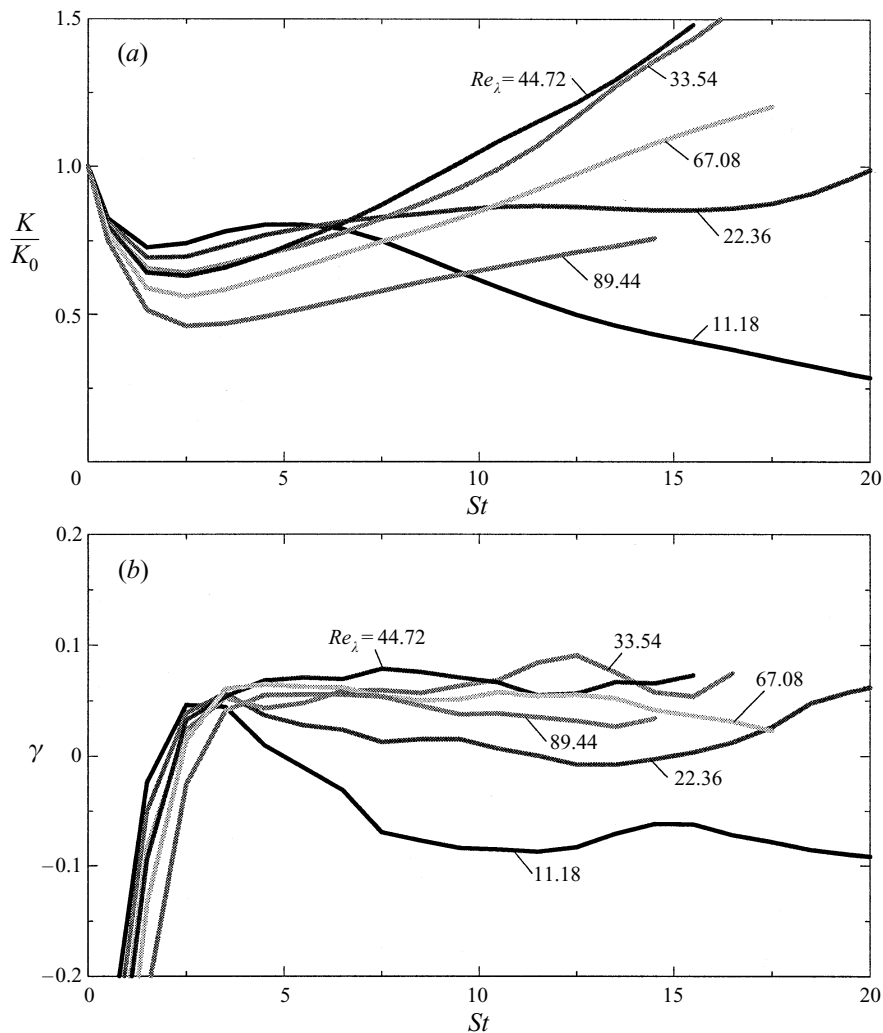


FIGURE 9. Evolution of (a)  $K$  and (b)  $\gamma$  as a function of the initial value of the Reynolds number.  $Ri = 0.08$  and the initial value of  $SK/\epsilon = 2.0$  are fixed.

for large shear numbers  $SK/\epsilon > 6.0$ , the growth rate  $\gamma$  is negative, and  $K$  decays again. This decay is due to a strongly reduced turbulence production as will be shown below.

Although the decay of the turbulent kinetic energy  $K$  for high shear numbers seems counterintuitive, there is an explanation for this effect. Consider the unstratified case first. For high  $SK/\epsilon$ , linear effects dominate the turbulence evolution, and rapid distortion theory (RDT) applies at least for short times. It is known, see for example Rogallo (1981) and Rogers (1991), that viscous RDT predicts eventual decay of the velocity fluctuations after an initial period of algebraic growth. Direct numerical simulations of rapidly sheared turbulence with initial  $SK/\epsilon = 13$  by Lee, Kim & Moin (1990) show that the magnitude of shear stress anisotropy  $b_{13}$  and the growth rate  $\gamma$  are substantially smaller than corresponding values for the moderately sheared case. Their simulations extended up to  $St = 12$  which corresponds to approximately one eddy turnover time. Whether the stabilizing effect of high shear numbers persists at large non-dimensional time  $St$  in the unstratified case is probably still an open question.

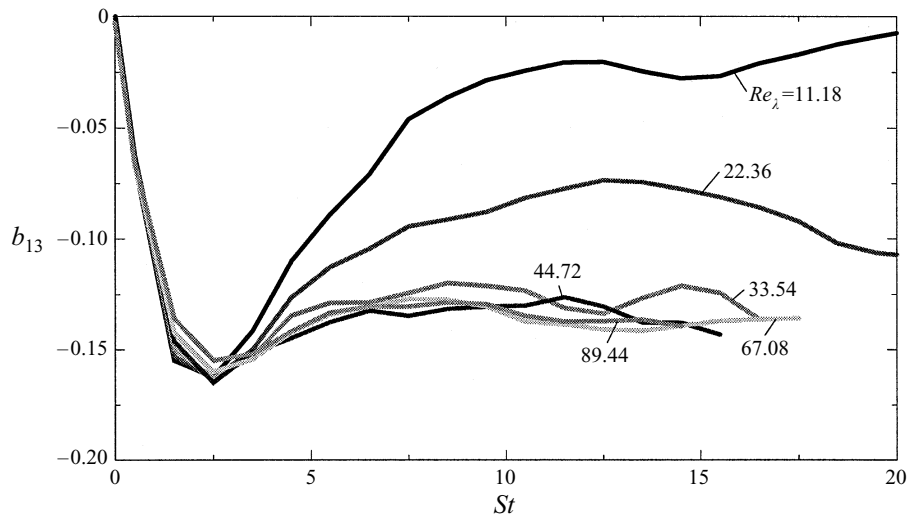


FIGURE 10. Evolution of  $b_{13}$  as a function of the initial value of the Reynolds number.  $Ri = 0.08$  and the initial value of  $SK/\epsilon = 2.0$  are fixed.

Now consider the stably stratified case. For the initial shear number  $SK/\epsilon$  to have an influence on the evolution of the turbulent kinetic energy  $K$ , it is enough that the stabilizing rapid distortion effect persists for a time that is long enough for stratification effects to become important. For  $Ri = 0.06$ , the interval  $St = 12$  in the DNS of Lee *et al.* (1990) corresponds to  $Nt = 3$  which is sufficiently long. Therefore, the strongly sheared, stratified cases show substantially reduced values of  $\gamma$  in figure 12(b). The temporal oscillations in the evolution of  $\gamma$  are associated with the Brunt-Väisälä period,  $N$ . The  $SK/\epsilon = 8.0$  simulation which shows eventual decay of turbulence was continued to a large value of  $St = 30$ , equivalent to approximately 7 Brunt-Väisälä periods and 3.75 eddy turnover times. These characteristic times are long enough to surmise that large shear numbers have a significant effect on the asymptotic fate of the turbulence evolution in a stratified shear flow.

The shear number range for which the turbulence asymptotically grows decreases with increasing Richardson number. For sufficiently large Richardson numbers the range of growth disappears, and the turbulent kinetic energy  $K$  always decays.

In figure 13 the evolution of the anisotropy  $b_{13}$  is shown. The asymptotic value of the magnitude of  $b_{13}$  decreases with increasing  $SK/\epsilon$ . Figure 14(a) shows the evolution of  $\epsilon/P$ . The asymptotic value of  $\epsilon/P$  decreases with  $SK/\epsilon$  for  $SK/\epsilon \leq 4.0$ , reaches a minimum, and finally increases with  $SK/\epsilon$  for  $SK/\epsilon > 4.0$ . The minimum of  $\epsilon/P$  at  $SK/\epsilon = 4.0$  corresponds to a maximum of the growth rate  $\gamma$  at this shear number. The evolution of  $B/P$  is presented in figure 14(b). The asymptotic value of  $B/P$  is nearly independent of  $SK/\epsilon$ .

The stabilizing effect of large initial values of  $SK/\epsilon$  is associated with, first, a decrease of the asymptotic values of  $b_{13}$  as shown in figure 13 and, second, an increase of  $\epsilon/P$ . The first effect is on the large-scale, energy-containing eddies that appears in rapid distortion analysis too. The second effect on  $\epsilon/P = 1/(SK/\epsilon) * 1/(-2b_{13})$  is primarily due to the decrease of the asymptotic value of  $b_{13}$ . Although the final values of  $SK/\epsilon$  are larger for larger initial values of  $SK/\epsilon$ , the net effect is an increase of  $\epsilon/P$ .

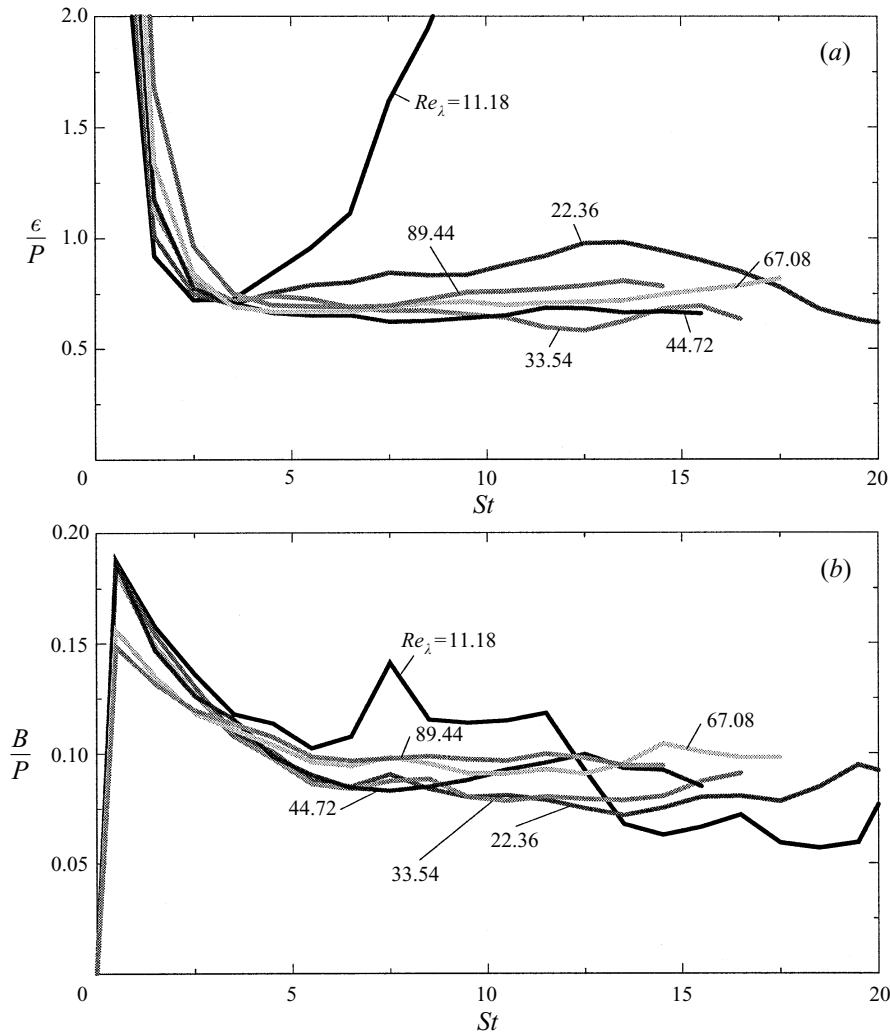


FIGURE 11. Evolution of (a)  $\epsilon/P$  and (b)  $B/P$  as a function of the initial value of the Reynolds number.  $Ri = 0.08$  and the initial value of  $SK/\epsilon = 2.0$  are fixed.

### 5. Dependence of the asymptotic growth rate

In this section the dependence of the asymptotic values of the exponential growth rate  $\gamma$  on  $Ri$  and the initial values of  $Re_\lambda$  and  $SK/\epsilon$  is summarized. The asymptotic values of the growth rate  $\gamma$  are computed as the average of the right-hand side of equation (4.2) for non-dimensional times  $St > 8$ .

Figure 15 shows the dependence of  $\gamma$  on  $Ri$ . The initial values of the Reynolds number  $Re_\lambda = 44.72$  and the shear number  $SK/\epsilon = 2.0$  are fixed. The growth rate  $\gamma$  decreases approximately linearly with increasing  $Ri$ .

The variation of  $\gamma$  with the initial value of  $Re_\lambda$  is presented in figure 16(a). The Richardson number  $Ri = 0.08$  and the initial value of the shear number  $SK/\epsilon = 2.0$  are fixed. For low Reynolds numbers,  $\gamma$  increases with increasing  $Re_\lambda$ . The growth rate  $\gamma$  reaches a maximum and decreases slightly. For high Reynolds numbers,  $\gamma$  tends to become independent of  $Re_\lambda$ .

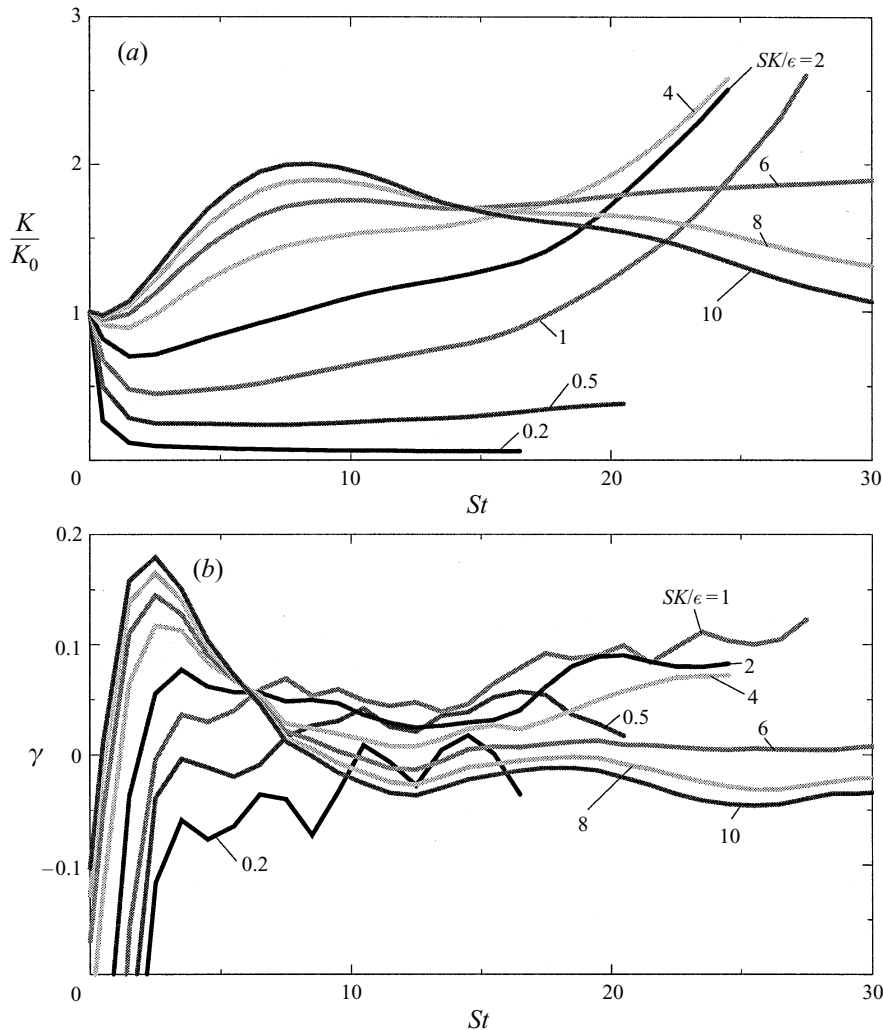


FIGURE 12. Evolution of (a)  $K$  and (b)  $\gamma$  as a function of the initial value of  $SK/\epsilon$ .  $Ri = 0.06$  and the initial value of  $Re_\lambda = 22.36$  are fixed.

The dependence of  $\gamma$  on the initial value of  $SK/\epsilon$  is shown in figure 16(b). The Richardson number  $Ri = 0.06$  and the initial value of the Reynolds number  $Re_\lambda = 22.36$  are kept constant. For low shear numbers, the growth rate  $\gamma$  increases with increasing  $SK/\epsilon$ . The growth rate  $\gamma$  reaches a maximum and decreases with a further increase of  $SK/\epsilon$ . Note that only a variation of  $SK/\epsilon$  can lead to two critical cases with  $\gamma = 0$ .

The evolution of  $Re_\lambda$  for the series of simulations with different initial values of  $Re_\lambda$  is shown in figure 17(a). The Richardson number  $Ri = 0.08$  and the initial value of the shear number  $SK/\epsilon = 2.0$  are fixed. A parameterization of the exponential growth rate in terms of the initial value of  $Re_\lambda$  is meaningful, because the curves of the Reynolds number evolution do not intersect. In addition, the shape of the growth rate dependence on the Reynolds number, as shown in figure 16(a), does not change substantially with time.

Figure 17(b) shows the evolution of  $SK/\epsilon$  for the series of simulations with different

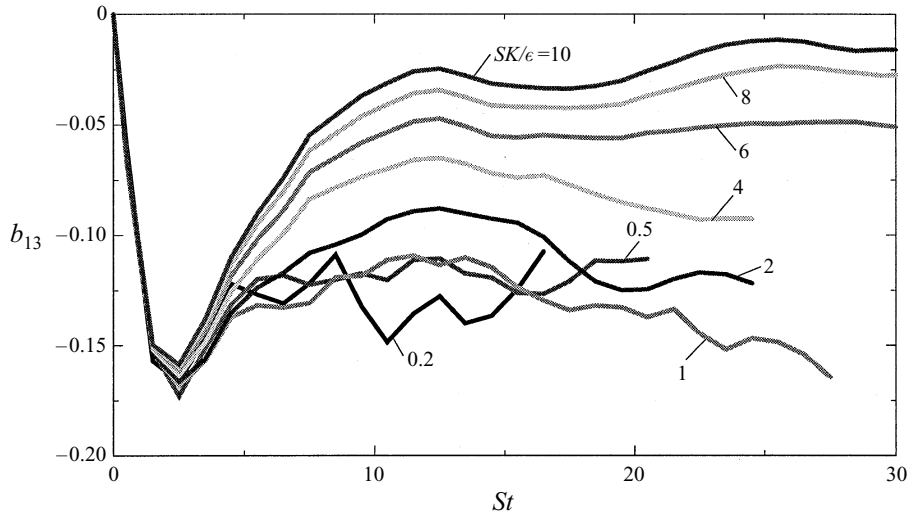


FIGURE 13. Evolution of  $b_{13}$  as a function of the initial value of  $SK/\epsilon$ .  $Ri = 0.06$  and the initial value of  $Re_\lambda = 22.36$  are fixed.

initial values of  $SK/\epsilon$ . The Richardson number  $Ri = 0.06$  and the initial value of the Reynolds number  $Re_\lambda = 22.36$  are fixed. The variation of  $\gamma$  can be presented in terms of the initial value of the shear number  $SK/\epsilon$ , because the curves of the shear number evolution do not intersect. Also, the shape of the growth rate dependence on the shear number, as shown in figure 16(b), does not change substantially in time.

## 6. The critical Richardson number

The critical Richardson number  $Ri_{cr}$  is defined as the value of  $Ri$  for which the turbulent kinetic energy  $K$  stays constant in time. In §2.3 it was shown that the evolution of the turbulent kinetic energy  $K$  depends on  $Ri$  and the initial values of  $Re_\lambda$  and  $SK/\epsilon$ . Therefore  $Ri_{cr}$  is a function of the initial values of  $Re_\lambda$  and  $SK/\epsilon$ .

For the critical case, the turbulent kinetic energy equation (2.9) simplifies to

$$\frac{1}{\epsilon} \frac{dK}{dt} = \frac{P}{\epsilon} - \frac{B}{\epsilon} - 1 = 0 \quad (6.1)$$

or

$$\frac{B}{\epsilon} = \frac{P}{\epsilon} - 1. \quad (6.2)$$

The low-Reynolds-number scaling equations (2.21) and (2.22) for  $P/\epsilon$  and  $B/\epsilon$  lead to

$$Ri_{cr} = \frac{1}{\alpha} \frac{\lambda}{5l} Re_\lambda \frac{1}{SK/\epsilon} \left( \beta - \frac{1}{SK/\epsilon} \right). \quad (6.3)$$

The high-Reynolds-number scaling equations (2.24) and (2.25) for  $P/\epsilon$  and  $B/\epsilon$  lead to

$$Ri_{cr} = \frac{1}{\alpha} \frac{1}{SK/\epsilon} \left( \beta - \frac{1}{SK/\epsilon} \right). \quad (6.4)$$

The coefficients are

$$\alpha = 4 \frac{\overline{u_3 \rho}}{u_3^{rms} \rho^{rms}} \frac{u_3^{rms} L_e}{q l}, \quad (6.5)$$

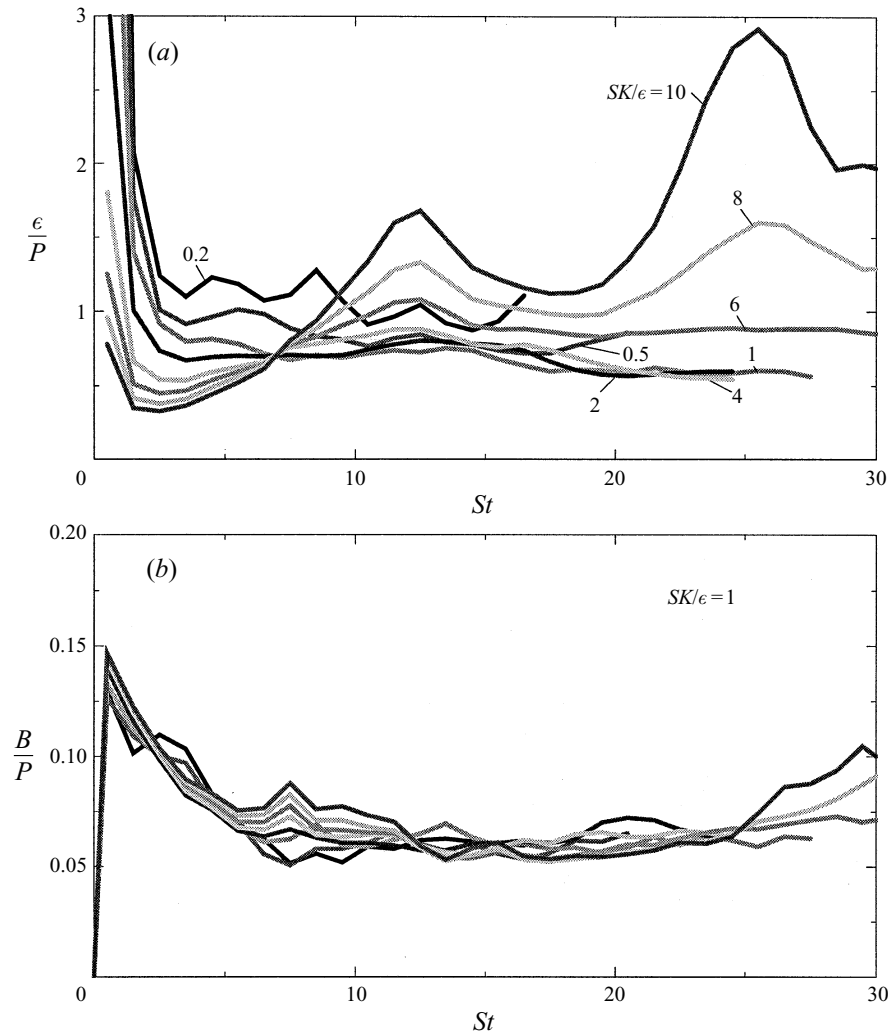


FIGURE 14. Evolution of (a)  $\epsilon/P$  and (b)  $B/P$  as a function of the initial value of  $SK/\epsilon$ .  $Ri = 0.06$  and the initial value of  $Re_\lambda = 22.36$  are fixed.

$$\beta = -2b_{13}. \quad (6.6)$$

This dependence of  $Ri_{cr}$  on  $Re_\lambda$  and  $SK/\epsilon$  is exact for the instantaneous values of  $Re_\lambda$ ,  $SK/\epsilon$ ,  $\alpha$ , and  $\beta$ . In order to estimate the dependence of  $Ri_{cr}$  on the initial values of  $Re_\lambda$  and  $SK/\epsilon$  we assume that, first, the coefficients  $\alpha$  and  $\beta$  are constant and, second, the final values of  $Re_\lambda$  and  $SK/\epsilon$  are monotone increasing functions of the initial values of  $Re_\lambda$  and  $SK/\epsilon$ . The second assumption is a result of our direct numerical simulations, and the first assumption is a reasonable approximation to the turbulence state after an initial transient according to our direct numerical simulations.

Then, it can be expected from equation (6.3) that for low Reynolds numbers,  $Ri_{cr}$  grows linearly with increasing initial values of  $Re_\lambda$  and, from equation (6.4), that for high  $Re_\lambda$  the critical Richardson number  $Ri_{cr}$  becomes independent of  $Re_\lambda$ .

In addition, it can be expected that  $Ri_{cr}$  varies with the initial value of  $SK/\epsilon$  as

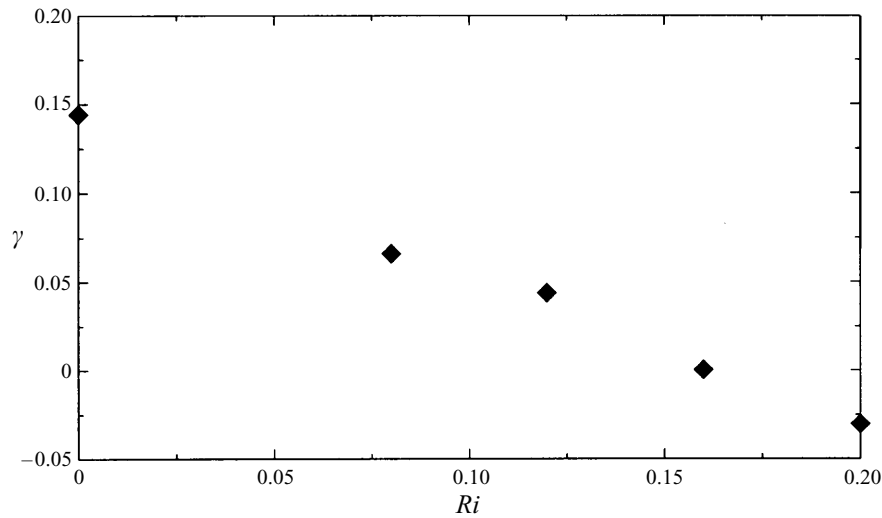


FIGURE 15. Variation of the asymptotic growth rate  $\gamma$  as a function of  $Ri$ . The initial values of  $Re_\lambda = 44.72$  and  $SK/\epsilon = 2.0$  are fixed.

given by equations (6.3) and (6.4). The shear number dependence in these equations shows that for small  $SK/\epsilon$ , the critical Richardson number  $Ri_{cr}$  grows with increasing  $SK/\epsilon$ , reaches a maximum, and finally decreases with a further increase of  $SK/\epsilon$ . It is therefore possible to obtain the same value of  $Ri_{cr}$  for two different values of  $SK/\epsilon$ .

Also note that the critical Richardson number can be negative for sufficiently small initial values of the Reynolds and shear numbers. In this case an unstable density stratification must be maintained to allow for a constant level of turbulence. The analysis presented here was inspired by an argument given in Townsend (1957).

Simulations were performed to find the dependence of the critical Richardson number  $Ri_{cr}$  on the initial values of the Reynolds number  $Re_\lambda$  and the shear number  $SK/\epsilon$  numerically. In §5 it was shown that the exponential growth rate  $\gamma$  is approximately a linear function of the Richardson number  $Ri$ . Therefore the value of  $Ri_{cr}$  was determined by identifying two nearby Richardson numbers that cause growth and decay and using linear interpolation.

Figure 18(a) shows the variation of  $Ri_{cr}$  as a function of the initial value of  $Re_\lambda$  as determined by DNS. The initial value of  $SK/\epsilon$  is kept constant in this series of simulations. The value of  $Ri_{cr}$  increases with the initial value of  $Re_\lambda$ . For large  $Re_\lambda$ ,  $Ri_{cr}$  varies only slightly with  $Re_\lambda$ .

The dependence of  $Ri_{cr}$  on the initial value of  $SK/\epsilon$  is shown in figure 18(b). In this series of simulations the initial value of  $Re_\lambda$  is kept constant. With increasing  $SK/\epsilon$ , the critical Richardson number  $Ri_{cr}$  increases, reaches a maximum, and finally decreases. Therefore the same value of  $Ri_{cr}$  can be obtained at two different  $SK/\epsilon$ .

Thus, the variation of the critical Richardson number  $Ri_{cr}$  as determined from DNS agrees qualitatively with the variation indicated by the scaling analysis of the transport equation for the turbulent kinetic energy.

The laboratory experiments of Piccirillo & Van Atta (1997) showed that when the mesh size (and thereby the microscale Reynolds number) of the grid was increased,  $Ri_{cr}$  decreased, in apparent contradiction to the finding of Holt *et al.* (1992) who found an increase in  $Ri_{cr}$  with increasing Reynolds number. After examination of the laboratory data, it was found that  $SK/\epsilon$  decreases when the mesh size of the

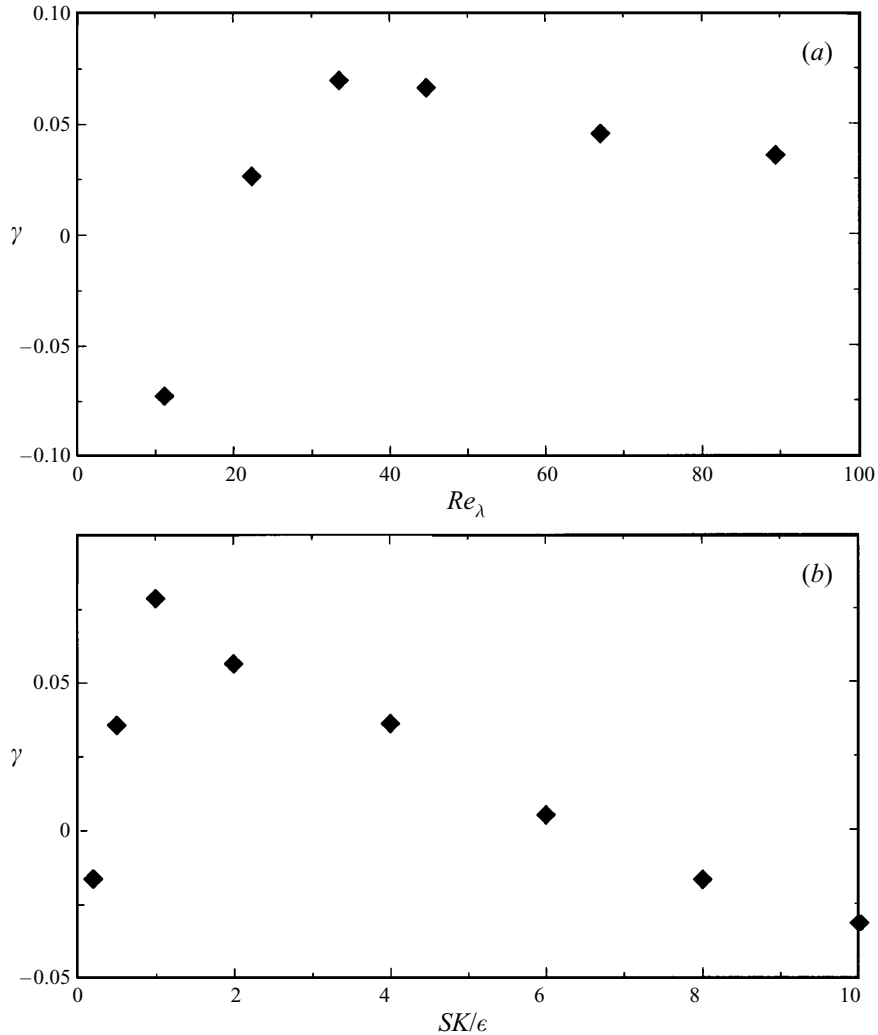


FIGURE 16. (a) Variation of the asymptotic growth rate  $\gamma$  as a function of  $Re_\lambda$ .  $Ri = 0.08$  and the initial value of  $SK/\epsilon = 2.0$  are fixed. (b) Variation of the asymptotic growth rate  $\gamma$  as a function of  $SK/\epsilon$ .  $Ri = 0.06$  and the initial value of  $Re_\lambda = 22.36$  are fixed.

grid increases. The shear number values in the laboratory were below 0.5, placing them in the left-hand corner of the plot in figure 18(b) and thus in the range where  $Ri_{cr}$  decreases with decreasing  $SK/\epsilon$ . Thus, the decrease in  $Ri_{cr}$  with increasing mesh size in the laboratory experiment may be a shear number effect and not a Reynolds number effect.

## 7. Conclusions

In this investigation, a spectral collocation method for the direct numerical simulation of homogeneous turbulence in a stratified shear flow was implemented and validated. In addition, the importance of carefully defined initial conditions for an effective parameterization of the turbulence evolution was addressed. A dimensional analysis was performed to derive the non-dimensional parameters governing the tem-



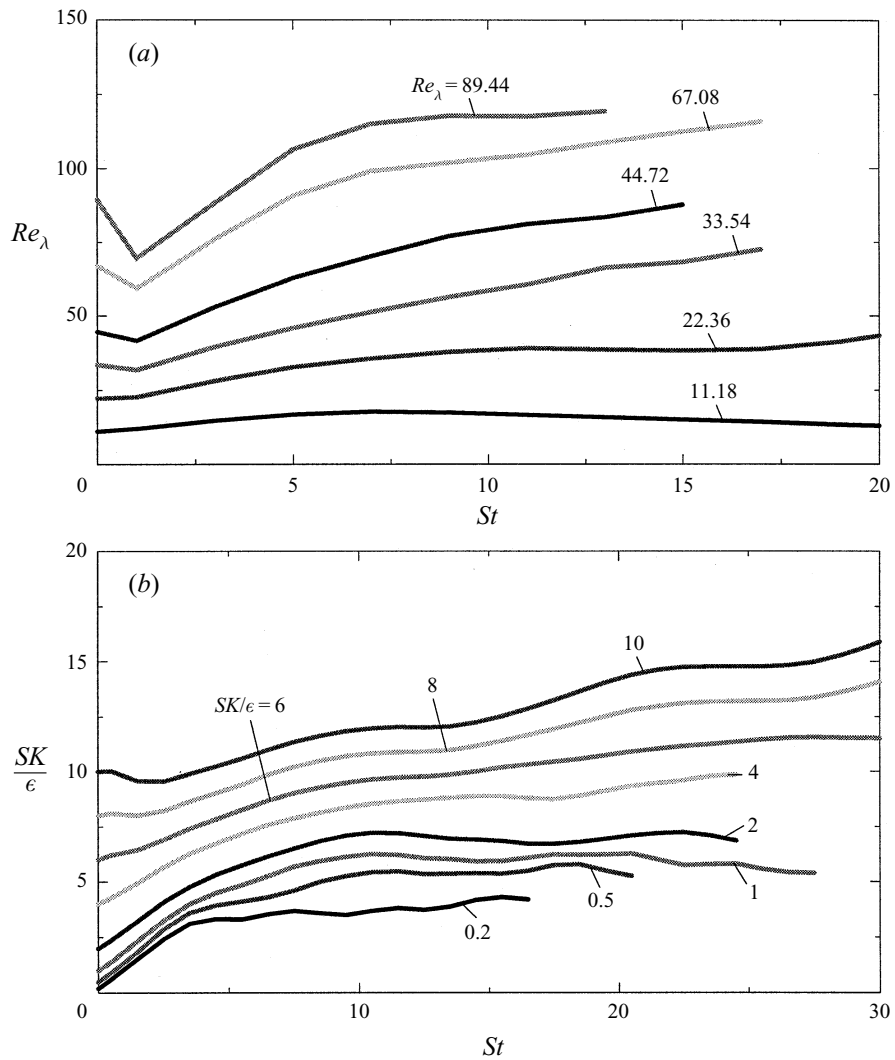


FIGURE 17. (a) Evolution of  $Re_\lambda$  for the series of simulations with initially different Reynolds numbers.  $Ri = 0.08$  and the initial value of  $SK/\epsilon = 2.0$  are fixed. (b) Evolution of  $SK/\epsilon$  for the series of simulations with initially different shear numbers.  $Ri = 0.06$  and the initial value of  $Re_\lambda = 22.36$  are fixed.

poral development of the turbulence. These parameters are the Richardson number  $Ri$ , the Reynolds number  $Re_\lambda$ , and the shear number  $SK/\epsilon$ . From the simulations, it was found that, for a given spectral shape of the initial fluctuations, the evolution of the turbulent kinetic energy  $K$  depends strongly on  $Ri$  and the initial values of  $Re_\lambda$  and  $SK/\epsilon$ . Furthermore, it was shown that the evolution of  $K$  follows approximately an exponential law.

The variation of the asymptotic value of the exponential growth rate  $\gamma$  with  $Ri$ , the initial value of  $Re_\lambda$ , and the initial value of  $SK/\epsilon$  is shown in figures 15, 16(a), and 16(b), respectively. The growth rate  $\gamma$  decreases approximately linearly with increasing  $Ri$ . The stabilizing influence of the Richardson number on the turbulent kinetic energy is not caused by the explicit sink associated with the buoyancy flux. It

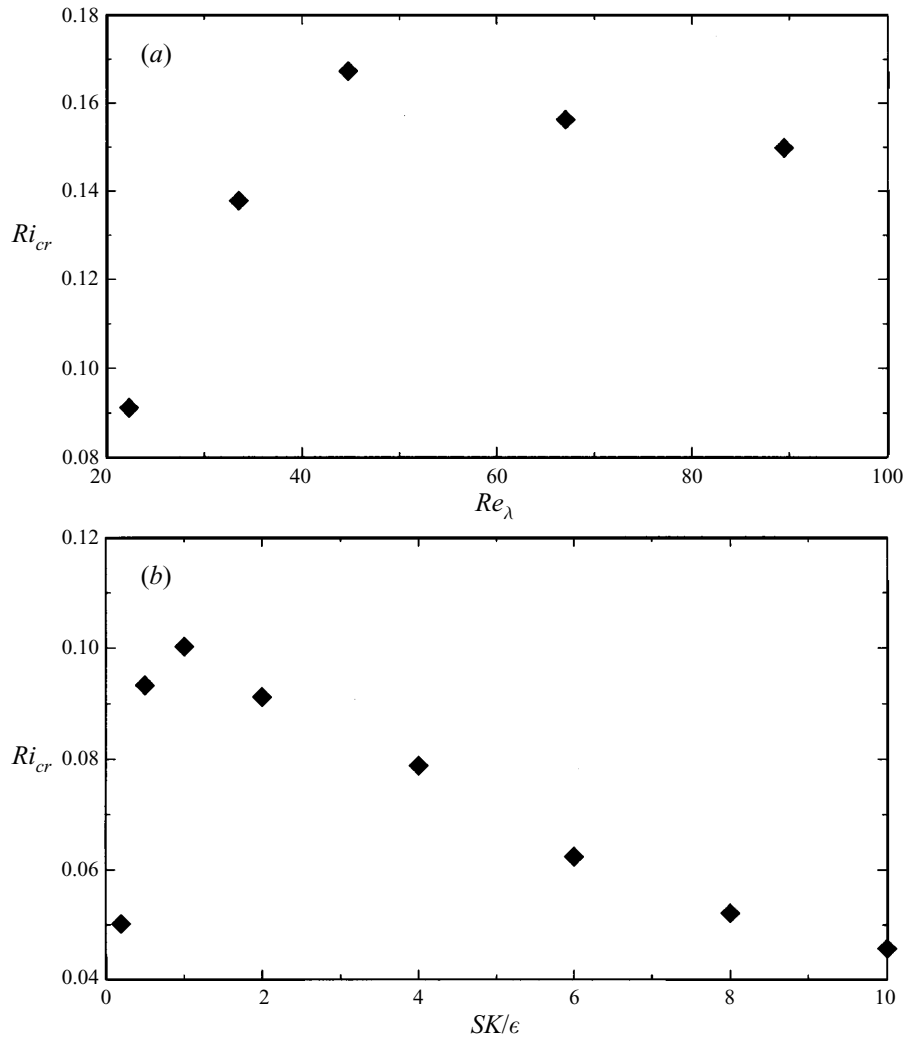


FIGURE 18. (a) Variation of  $Ri_{cr}$  as a function of the initial value of  $Re_\lambda$ . The initial value of  $SK/\epsilon = 2.0$  is fixed. (b) Variation  $Ri_{cr}$  as a function of the initial value of  $SK/\epsilon$ . The initial value of  $Re_\lambda = 22.36$  is fixed.

is due to the decreasing value of the relative turbulence production  $P/\epsilon$  as well as the decreasing magnitude of Reynolds shear stress anisotropy  $b_{13}$  with increasing  $Ri$ .

The growth rate  $\gamma$  increases with increasing initial values of  $Re_\lambda$  but finally tends to become independent for high  $Re_\lambda$ .

The dependence of  $\gamma$  on the initial value of  $SK/\epsilon$  is non-monotone. The growth rate  $\gamma$  increases, reaches a maximum, and finally decreases with increasing  $SK/\epsilon$ . The decrease of  $\gamma$  as a function of  $SK/\epsilon$  for large shear numbers is consistent with linear viscous RDT results. Although RDT is strictly applicable for short times only, DNS shows that the qualitative effect of the shear number suggested by RDT persists for long times too. The stabilizing effect of increased shear number is associated mainly with a decreased Reynolds shear stress anisotropy  $b_{13}$  and also has a contribution from a reduced relative production  $P/\epsilon$ . The shear number effect discussed here is based on simulations at an initial Reynolds number  $Re_\lambda = 22.36$  (final values as large

as  $Re_\lambda \simeq 50$ ) and a Richardson number  $Ri = 0.06$ . It is probable that the detailed influence of the shear number may vary with the initial value of the Reynolds number and the Richardson number and should be the subject of a future study.

The critical Richardson number  $Ri_{cr}$  is of interest, because for  $Ri < Ri_{cr}$  the asymptotic fate of the turbulence is growth as opposed to decay for  $Ri > Ri_{cr}$ . The value of  $Ri_{cr}$  was found to depend on the initial values of  $Re_\lambda$  and  $SK/\epsilon$ . The variation of  $Ri_{cr}$  with  $Re_\lambda$  and  $SK/\epsilon$  is shown in figures 18(a) and 18(b), respectively. The variation of  $Ri_{cr}$  in the DNS is large, ranging from 0.04 at the lowest values of Reynolds and shear number to a high of 0.17. Thus in nonlinearly evolving uniform shear flow, the estimate of  $Ri_{cr} = 0.25$  motivated by the linear result of Miles (1961) is not applicable. The simple scaling analysis of the turbulence transport equation in §6 also suggests that  $Ri_{cr}$  varies as a function of Reynolds and shear numbers. The critical Richardson number  $Ri_{cr}$  increases and finally tends to become independent with increasing  $Re_\lambda$ . This result is consistent with the results reported by Holt *et al.* (1992). The critical Richardson number  $Ri_{cr}$  increases, reaches a maximum, and finally decreases with increasing  $SK/\epsilon$ . This shear number dependence of  $Ri_{cr}$  may explain the experimental results of Piccirillo & Van Atta (1997). In their study a decrease of  $Ri_{cr}$  with increasing grid size (and therefore increasing  $Re_\lambda$  by a moderate amount and decreasing  $SK/\epsilon$ ) was observed. Based on our numerical study, it appears that the increase of  $Re_\lambda$  does not cause a decrease of  $Ri_{cr}$ . However, the decrease of the already small  $SK/\epsilon$  contributes to the decrease of  $Ri_{cr}$ . The dependence of  $Ri_{cr}$  on both  $Re_\lambda$  and  $SK/\epsilon$  observed in the DNS agrees qualitatively with results obtained from a scaling analysis of the transport equation of the turbulent kinetic energy.

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## Appendix. Validation

To ensure the reliability of the numerical method used in this study, a number of validation simulations were performed. First, the solutions were compared with results obtained by Holt *et al.* (1992). Second, the influence of the resolution of the computational domain was investigated. Third, the universality of the non-dimensional parameters introduced in §2.3 was established.

### *Comparison with Holt et al. (1992)*

A simulation was performed that matches the initial radial spectrum and the initial values of the non-dimensional parameters of the simulation labelled HD by Holt *et al.* (1992). The evolution of the normalized Reynolds stress  $R_{13}^* = \overline{u_1 u_3} / (u_1^{rms} u_3^{rms})$  and of the normalized vertical transport  $R_{3\rho}^* = \overline{u_3 \rho} / (u_3^{rms} \rho^{rms})$  is shown in figures 19(a) and 19(b), respectively. The computed values agree within 5%. The small differences can be attributed to different methods used to advance the solutions in time and to different initial random fields.

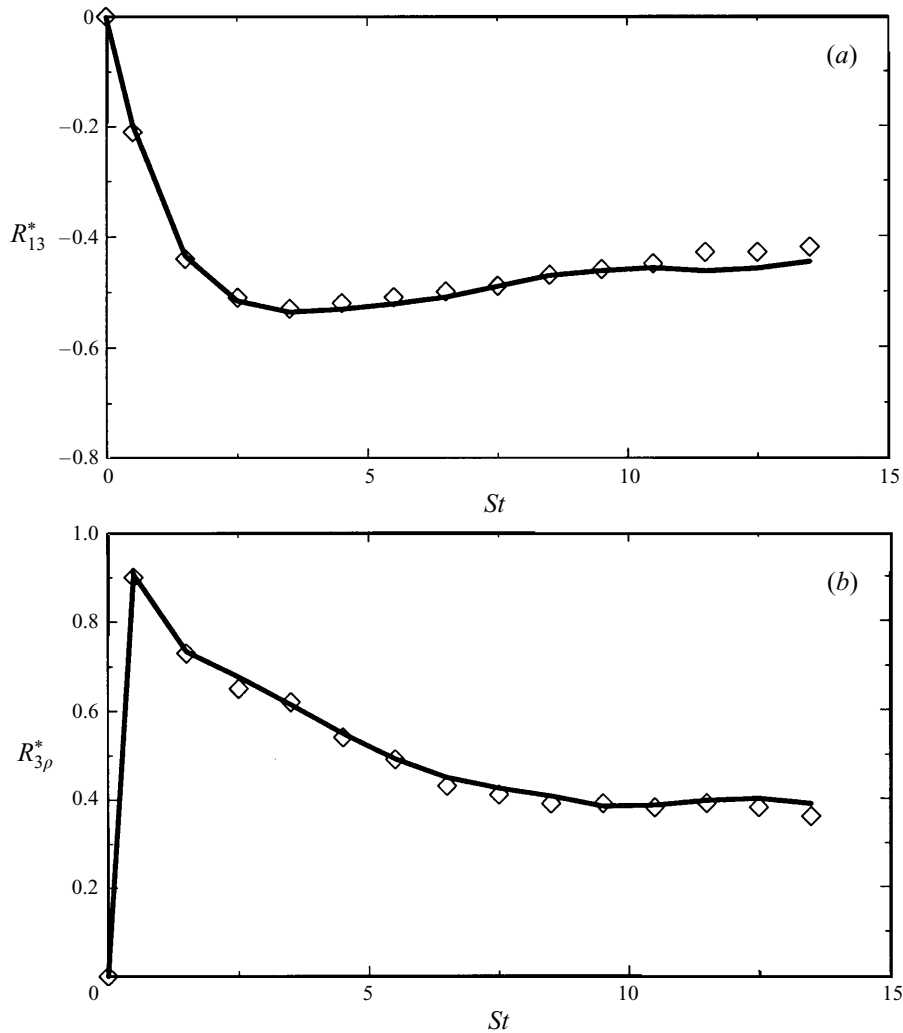


FIGURE 19. Evolution of (a) the normalized Reynolds stress  $R_{13}^* = \overline{u_1 u_3} / (u_1^{rms} u_3^{rms})$  and (b) the normalized vertical transport  $R_{3\rho}^* = \overline{u_3 \rho} / (u_3^{rms} \rho^{rms})$ . The diamonds correspond to the values obtained by Holt *et al.* (1992).

#### *Influence of spatial resolution*

A simulation with the initial parameters  $Ri = 0.08$ ,  $Re_\lambda = 67.08$ , and  $SK/\epsilon = 2.0$  originally performed on a grid with  $128^3$  points was restarted at  $St = 9.0$  on a grid with  $144^3$  points. The extrapolation necessary for this grid expansion was done in spectral space. Figure 20(a) shows the two-point correlations  $R_{11}(x)$  at the non-dimensional time  $St = 14$  of the two simulations performed on the  $144^3$  and  $128^3$  grids. Both cases show that  $R_{11}(x)$  decays to zero for sufficiently large  $x$ . Therefore the resolution of the computational domain does not influence the evolution of the largest turbulence scales.

To ensure a sufficient resolution of the small turbulence scales the energy spectra  $E(k)$  at the non-dimensional time  $St = 14$  of the two simulations performed on the  $144^3$  and  $128^3$  grids are shown in figure 20(b). The spectra show an identical evolution

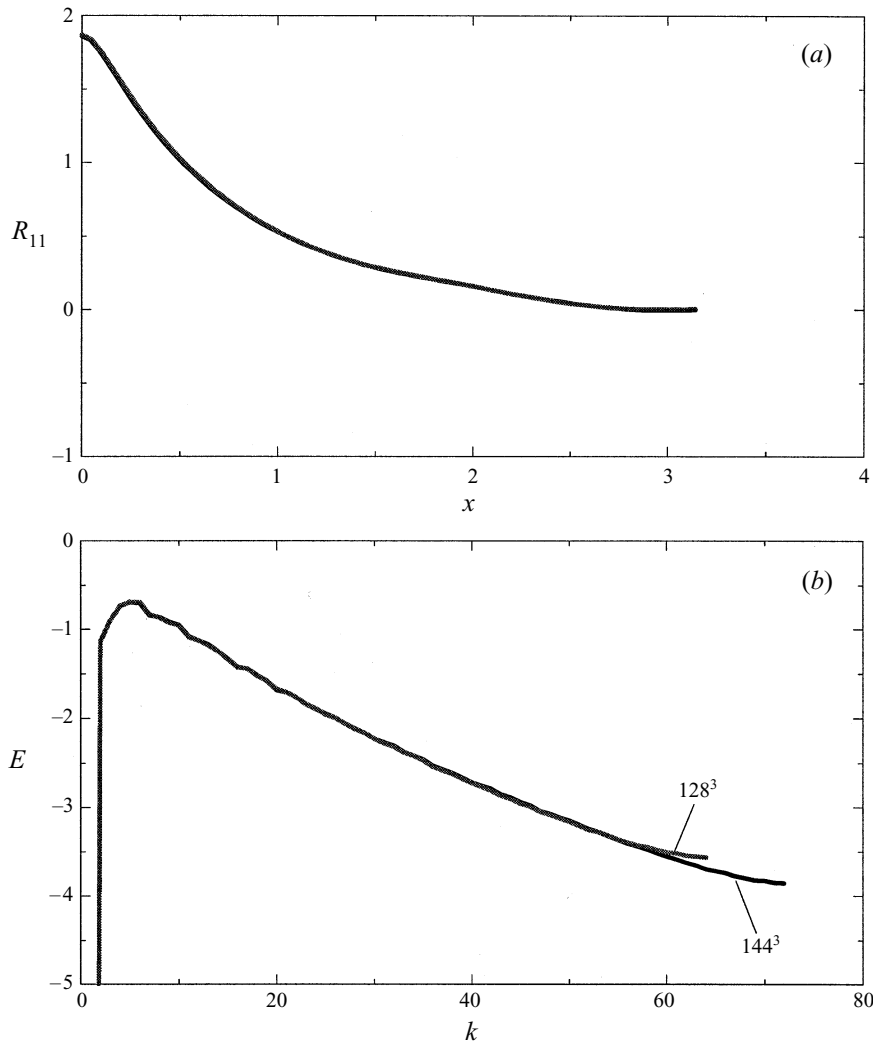


FIGURE 20. (a) Two-point correlations  $R_{11}(x)$  and (b) energy spectra  $E(k)$  of two simulations with the initial parameters  $Ri = 0.08$ ,  $Re_\lambda = 67.08$ , and  $SK/\epsilon = 2.0$  at the non-dimensional time  $St = 14$ . The original simulation performed on a grid with  $128^3$  points is compared with a simulation restarted at  $St = 9.0$  on a grid with  $144^3$  points.

of the low-wavenumber portion and a sufficient resolution of the high-wavenumber portion of the spectra. Therefore the grid used has the high resolution required to obtain accurately the evolution of the turbulence.

*Universality of the non-dimensional parameters*

The universality of the non-dimensional parameters was checked by rescaling the initial conditions of a simulation with the initial parameters  $Ri = 0.08$ ,  $Re_\lambda = 67.08$ , and  $SK/\epsilon = 2.0$ . The initial velocity fluctuations  $q$  are increased to a new value  $q^* = 2q$ . To keep the Reynolds number constant for a fixed initial spectrum, the kinematic viscosity has to be changed to a new value  $\nu^* = 2\nu$ . To keep the shear number  $SK/\epsilon$  constant, the shear rate  $S$  has to be changed to a new value  $S^* = 2S$ . The ratio of the turbulent kinetic energies  $K^*/K$  obtained from the rescaled and

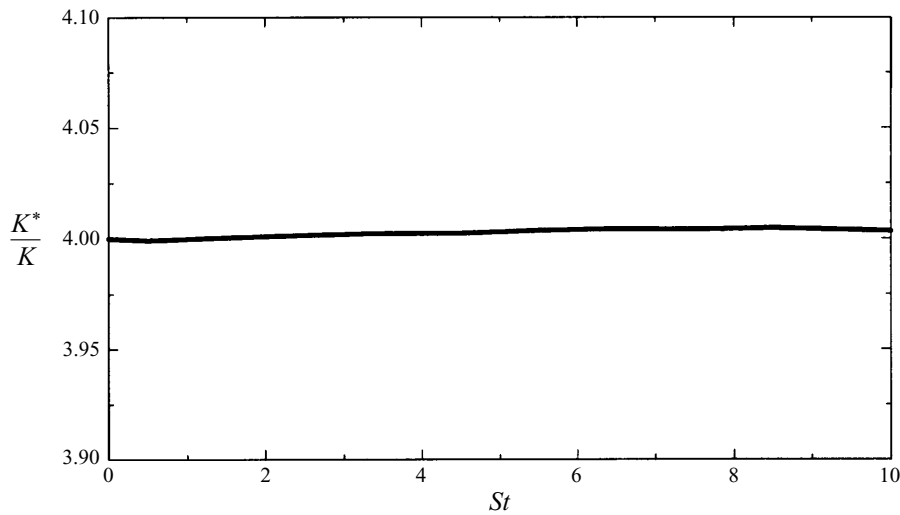


FIGURE 21. Evolution of the ratio of the turbulent kinetic energies  $K^*/K$  obtained from simulations using the rescaled and the original initial parameters, respectively.

original simulations is shown in figure 21. This ratio always remains very close to its initial value of 4.0 as it should. This shows the universality of the non-dimensional parameters derived in §2.3.

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